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Abstract	We show that a class of nonlinear Fourier transforms called FBI (Fourier-Bros-Iagolintzer) transforms introduced in [6] can be used to characterize local and microlocal Gevrey regularity.	

# Characterization of Gevrey Regularity by a Class of FBI Transforms

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S. Berhanu and Abraham Hailu

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## 1 Introduction

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The FBI transform is a nonlinear Fourier transform introduced by J. Bros and D. Iagolintzer in order to characterize the local and microlocal analyticity of functions (or distributions) in terms of appropriate decays in the spirit of the Paley-Wiener theorem. This paper characterizes local and microlocal Gevrey regularity in terms of appropriate decays of a more general class of FBI transforms that were introduced in [6]. The classical and more commonly used FBI transform has the form

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$$\mathcal{F}u(x, \xi) = \int_{\mathbb{R}^m} e^{i\xi \cdot (x-x') - |\xi||x-x'|^2} u(x') dx', \quad x, \xi \in \mathbb{R}^m \quad (1)$$

where  $u$  is a continuous function of compact support in  $\mathbb{R}^m$  or a distribution of compact support in which case the integral is understood in the duality sense. This transform characterizes microlocal analyticity (see [14]) and microlocal smoothness (see [8]) and has been used in numerous works to study the regularity of solutions of linear and nonlinear partial differential equations.

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Among the many works where the transform (1.1) has been used, we mention [2–5, 7–12] and [14]. In [14] (see also [8] and [15]) more general FBI transforms

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than (1.1) were considered where the phase function behaved much like the quadratic phase  $i\xi \cdot (x - x') - |\xi||x - x'|^2$  in that the real part of the Hessian was required to be negative definite.

In the work [6] the authors introduced a more general class of FBI transforms where the real part of the Hessian of the phase function may degenerate at the point of interest. It was shown that these more general transforms characterize local and microlocal smoothness and real analyticity. Simple examples of the transforms that were introduced include, for each  $k = 2, 3, \dots$ ,

$$\mathcal{F}_k u(x, \xi) = \int_{\mathbb{R}^m} e^{i\xi \cdot (x-x') - |\xi||x-x'|^{2k}} u(x') dx', \quad x, \xi \in \mathbb{R}^m.$$

Observe that for  $k > 1$ , these transforms have a degenerate Hessian at the origin. In [6]  $\mathcal{F}_2 u$  was used to establish the microlocal hypoellipticity of certain systems of complex vector fields in a situation where the standard transform  $\mathcal{F}u$  didn't seem to help.

In section 2 we discuss the local and microlocal characterization of Gevrey functions as boundary values of almost analytic functions  $F$  with the property that  $\bar{\partial}F$  decays exponentially. In section 3 we present a characterization of the Gevrey wave front set in terms of appropriate decays of a class of FBI transforms introduced in [6]. This result generalizes a result of M. Christ ([7]) who proved a similar characterization using the classical transform given by (1.1).

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## 2 Gevrey Functions and Some Preliminaries

**Definition 1** Let  $s \geq 1$ . Let  $f(x) \in C^\infty(\Omega)$ ,  $\Omega \subset \mathbb{R}^m$  open. The function  $f$  is a Gevrey function of order  $s$  on  $\Omega$  if for any  $K \subset\subset \Omega$  there is a constant  $C_K > 0$  such that

$$|\partial^\alpha f(x)| \leq C_K^{|\alpha|+1} (\alpha!)^s, \quad \forall x \in K, \quad \forall \alpha.$$

We denote the class of Gevrey functions of order  $s$  on  $\Omega$  by  $G^s(\Omega)$ . If  $s = 1$ , then  $G^1(\Omega) = C^\omega(\Omega)$  is the space of real analytic functions on  $\Omega$ .

**Definition 2** Let  $\Omega \subset \mathbb{R}^m$  be open, and  $u \in \mathcal{D}'(\Omega)$ ,  $s > 1$ . Let  $x_0 \in \Omega$ . We say  $(x_0, \xi^0) \notin WF_s(u)$  (Gevrey wave front set of  $u$ ) if there is  $\varphi \in G^s \cap C_0^\infty$  (Gevrey function of compact support),  $\varphi \equiv 1$  near  $x_0$ , a conic neighborhood  $\Gamma$  of  $\xi^0$  and constants  $c_1, c_2 > 0$  such that

$$|\widehat{\varphi u}(\xi)| \leq c_1 \exp\left(-c_2 |\xi|^{\frac{1}{s}}\right), \quad \forall \xi \in \Gamma.$$

Equivalently,

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$$|\widehat{\varphi u}(\xi)| \leq c_1^{N+1} (N!) |\xi|^{-\frac{N}{s}}, \quad \forall \xi \in \Gamma, \forall N = 1, 2, \dots$$

Here  $\widehat{\varphi u}(\xi)$  denotes the Fourier transform of  $\varphi u$ .

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It is well known that  $u \in G^s(\Omega)$  if and only if  $WF_s(u) = \emptyset$  over  $\Omega$  (see [13]).

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**Theorem 1** *Let  $\Omega \subset \mathbb{R}^m$  be open.  $f \in G^s(\Omega)$  if and only if for each  $K \subset\subset \Omega$  relatively compact and open, there is  $F(x, y) \in C^1(K \times \mathbb{R}^m)$  such that*

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1.  $F(x, 0) = f(x)$  on  $K$  and

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2.

$$\left| \frac{\partial F}{\partial \bar{z}_j}(x, y) \right| \leq c_1 \exp\left(\frac{-c_2}{|y|^{\frac{1}{s-1}}}\right), \quad \forall j = 1, 2, \dots, m$$

on  $K \times B_\delta$  for some constants  $c_1, c_2, \delta > 0$  where  $B_\delta = \{y \in \mathbb{R}^m : |y| < \delta\}$  and  $z_j = x_j + iy_j$ .

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In the proof of Theorem (1) we will use the following remark.

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*Remark 1* It is easy to see that condition (2) in Theorem (1) holds if and only if for some  $c > 0$

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$$\left| \frac{\partial F}{\partial \bar{z}_j}(x, y) \right| \leq c^{N+1} N! |y|^{\frac{N}{s-1}}, \quad \forall N = 0, 1, 2, \dots \quad (2)$$

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*Proof* Suppose  $f(x) \in G^s(\Omega)$  and  $K \subset\subset \Omega$  relatively compact and open. Let  $\{a_{|\alpha|}\}_{|\alpha| \in \mathbb{N}}$  be defined by

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$$a_{|\alpha|} = \frac{1}{C|\alpha|^{s-1}}, \quad a_0 = 1$$

for some  $C$  to be chosen later. Set

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$$F(x, y) = \sum_{\alpha} \frac{i^{|\alpha|}}{\alpha!} \partial_x^\alpha f(x) y^\alpha \chi\left(\frac{|y|}{a_{|\alpha|}}\right) \quad (3)$$

where  $\chi \in C_0^\infty(\mathbb{R})$ ,  $\chi \equiv 1$  on  $[-\frac{1}{2}, \frac{1}{2}]$ ,  $\chi(x) \equiv 0$  when  $|x| \geq 1$ ,  $0 \leq \chi \leq 1$ .

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We will first show that  $F$  is  $C^1$ . Since  $f(x) \in G^s$ , there is  $C_K > 0$  such that

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$$|\partial_x^\alpha f(x)| \leq C_K^{|\alpha|+1} (\alpha!)^s, \quad \forall x \in K, \quad \forall \alpha. \quad (4)$$

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For  $x \in K$ , since  $\chi$  is supported in  $[-1, 1]$ ,

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$$\begin{aligned} \left| \frac{i^{|\alpha|}}{\alpha!} \partial_x^\alpha f(x) y^\alpha \chi \left( \frac{|y|}{a_{|\alpha|}} \right) \right| &\leq C_K^{|\alpha|+1} (\alpha!)^{s-1} \frac{1}{C^{|\alpha|} |\alpha|^{|\alpha|(s-1)}} \\ &\leq C \left( \frac{C_K}{C} \right)^{|\alpha|+1} \end{aligned} \tag{5}$$

For each  $\alpha$ , let  $g_\alpha(x, y) = \frac{i^{|\alpha|}}{\alpha!} \partial_x^\alpha f(x) y^\alpha \chi \left( \frac{|y|}{a_{|\alpha|}} \right)$ .

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$$\begin{aligned} |\partial_{y_j} g_\alpha(x, y)| &\leq \frac{C_K^{|\alpha|+2}}{\alpha!} ((\alpha + e_j)!)^s \frac{1}{C^{|\alpha|} |\alpha|^{|\alpha|(s-1)}} \\ &\leq \frac{C_K^{|\alpha|+2}}{\alpha!} 2^{s|\alpha|} (\alpha!)^s \frac{1}{C^{|\alpha|} |\alpha|^{|\alpha|(s-1)}} \\ &\leq C^2 \left( 2^s \frac{C_K}{C} \right)^{|\alpha|+2} \end{aligned} \tag{6}$$

where we used the fact that  $(\alpha + e_j)! \leq 2^{|\alpha|} \alpha!$ . Next we consider

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$$\begin{aligned} \partial_{y_j} g_\alpha(x, y) &= \frac{\alpha_j i^{|\alpha|}}{\alpha!} y^{\alpha - e_j} (\partial_x^\alpha f)(x) \chi \left( \frac{|y|}{a_{|\alpha|}} \right) + \frac{i^{|\alpha|}}{\alpha!} y^\alpha (\partial_x^\alpha f)(x) \chi' \left( \frac{|y|}{a_{|\alpha|}} \right) \frac{y_j}{a_{|\alpha|} |y|} \\ &= A_\alpha(x, y) + B_\alpha(x, y). \end{aligned} \tag{7}$$

Here if  $\alpha_j = 0$ , we set  $A_\alpha(x, y) = 0$ . We have:

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$$|A_\alpha(x, y)| \leq C^2 \left( \frac{C_K}{C} \right)^{|\alpha|+1} |\alpha|^s$$

and

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$$|B_\alpha(x, y)| \leq C^2 C' \left( \frac{C_K}{C} \right)^{|\alpha|+1} |\alpha|^{s-1}, \quad C' = \sup \chi'.$$

It follows that

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$$|\partial_{y_j} g_\alpha(x, y)| \leq C^2 (1 + C') \left( \frac{C_K}{C} \right)^{|\alpha|+1} |\alpha|^s$$

We now choose  $C = 2^s C_K$ . From the preceding estimates, we conclude that  $F$  is  $C^1$ . 74

We next compute  $\frac{\partial F}{\partial \bar{z}_j}(x, y)$  for each  $j = 1, \dots, m$ . Fix  $j = 1, \dots, m$ . Then

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$$\begin{aligned} \frac{\partial F}{\partial \bar{z}_j}(x, y) &= \frac{1}{2} \frac{\partial}{\partial x_j} \left( \sum_{\alpha} \frac{i^{|\alpha|}}{\alpha!} \partial_x^{\alpha} f(x) y^{\alpha} \chi \left( \frac{|y|}{a_{|\alpha|}} \right) \right) \\ &\quad + \frac{i}{2} \frac{\partial}{\partial y_j} \left( \sum_{\alpha} \frac{i^{|\alpha|}}{\alpha!} \partial_x^{\alpha} f(x) y^{\alpha} \chi \left( \frac{|y|}{a_{|\alpha|}} \right) \right) \\ &= \frac{1}{2} \sum_{\alpha} \frac{i^{|\alpha|}}{\alpha!} \left( \partial_x^{\alpha+e_j} f \right) (x) y^{\alpha} \chi \left( \frac{|y|}{a_{|\alpha|}} \right) \\ &\quad + \frac{i}{2} \sum_{\{\alpha: \alpha_j \geq 1\}} \frac{\alpha_j i^{|\alpha|}}{\alpha!} y^{\alpha-e_j} (\partial_x^{\alpha} f)(x) \chi \left( \frac{|y|}{a_{|\alpha|}} \right) \\ &\quad + \frac{i}{2} \sum_{\alpha} \frac{i^{|\alpha|}}{\alpha!} y^{\alpha} (\partial_x^{\alpha} f)(x) \chi' \left( \frac{|y|}{a_{|\alpha|}} \right) \frac{y_j}{a_{|\alpha|} |y|} \end{aligned}$$

where  $e_j = (0, \dots, 0, \underbrace{1}_{j\text{th place}}, 0, \dots) \in \mathbb{N}_0^m$ .

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Let  $\beta = \alpha - e_j$ . Then  $|\beta| = |\alpha| - |e_j| \geq 0$  in the second sum and so

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$$\begin{aligned} \frac{\partial F}{\partial \bar{z}_j}(x, y) &= \frac{1}{2} \sum_{\alpha} \frac{i^{|\alpha|}}{\alpha!} \left( \partial_x^{\alpha+e_j} f \right) (x) y^{\alpha} \chi \left( \frac{|y|}{a_{|\alpha|}} \right) \\ &\quad + \frac{i}{2} \sum_{|\beta| \geq 0} \frac{(\beta_j + 1) i^{|\beta+e_j|}}{(\beta + e_j)!} y^{\beta+e_j} (\partial_x^{\beta+e_j} f)(x) \chi \left( \frac{|y|}{a_{|\beta+e_j|}} \right) \\ &\quad + \frac{i}{2} \sum_{\alpha} \frac{i^{|\alpha|}}{\alpha!} y^{\alpha} (\partial_x^{\alpha} f)(x) \chi' \left( \frac{|y|}{a_{|\alpha|}} \right) \frac{y_j}{a_{|\alpha|} |y|} \\ &= \frac{1}{2} \sum_{\alpha} \frac{i^{|\alpha|}}{\alpha!} \left( \partial_x^{\alpha+e_j} f \right) (x) y^{\alpha} \chi \left( \frac{|y|}{a_{|\alpha|}} \right) \\ &\quad + \frac{1}{2} \sum_{\beta} \frac{1}{\beta!} i^{|\beta|+1} y^{\beta} (\partial_x^{\beta+e_j} f)(x) \chi \left( \frac{|y|}{a_{|\beta+e_j|}} \right) \\ &\quad + \frac{i}{2} \sum_{\alpha} \frac{i^{|\alpha|}}{\alpha!} y^{\alpha} (\partial_x^{\alpha} f)(x) \chi' \left( \frac{|y|}{a_{|\alpha|}} \right) \frac{y_j}{a_{|\alpha|} |y|} \\ &= \frac{1}{2} \sum_{\alpha} \frac{i^{|\alpha|}}{\alpha!} \left( \partial_x^{\alpha+e_j} f \right) (x) y^{\alpha} \left( \chi \left( \frac{|y|}{a_{|\alpha|}} \right) - \chi \left( \frac{|y|}{a_{|\alpha|+1}} \right) \right) \end{aligned}$$

$$\begin{aligned}
 & + \frac{i}{2} \sum_{\alpha} \frac{i^{|\alpha|}}{\alpha!} y^{\alpha} (\partial_x^{\alpha} f)(x) \chi' \left( \frac{|y|}{a_{|\alpha|}} \right) \frac{y_j}{a_{|\alpha|} |y|} \\
 & = \Sigma_1(x, y) + \Sigma_2(x, y)
 \end{aligned} \tag{8}$$

We observe that

$$\Sigma_1(x, y) \neq 0 \Rightarrow \frac{1}{2} \leq \frac{|y|}{a_{|\alpha|+1}} \text{ and } \frac{|y|}{a_{|\alpha|}} \leq 1$$

and so

$$\frac{a_{|\alpha|+1}}{2} \leq |y| \leq a_{|\alpha|}.$$

Then by the definition of the  $a_{|\alpha|}$  we get

$$\Sigma_1(x, y) \neq 0 \Rightarrow \frac{1}{2C|(\alpha| + 1)^{s-1}} \leq |y| \leq \frac{1}{C|\alpha|^{s-1}}. \tag{9}$$

Each term in  $\Sigma_1(x, y), x \in K$  satisfies

$$\begin{aligned}
 & \left| \frac{i^{|\alpha|}}{\alpha!} \left( \partial_x^{\alpha+e_j} f \right) (x) y^{\alpha} \left( \chi \left( \frac{|y|}{a_{|\alpha|}} \right) - \chi \left( \frac{|y|}{a_{|\alpha|+1}} \right) \right) \right| \\
 & \leq \frac{2|y|^{|\alpha|}}{\alpha!} C_K^{|\alpha+e_j|+1} ((\alpha + e_j)!)^s \\
 & \leq \frac{2}{\alpha!} \left( \frac{1}{C|\alpha|^{s-1}} \right)^{|\alpha|} C_K^{|\alpha+e_j|+1} ((\alpha + e_j)!)^s, \text{ by (9)} \\
 & \leq \frac{2}{\alpha!} \left( \frac{1}{C|\alpha|^{s-1}} \right)^{|\alpha|} C_K^{|\alpha+e_j|+1} (\alpha!)^s (e_j!)^s 2^{s(|\alpha|+1)}, \text{ using } (\beta + \delta)! \leq \beta! \delta! 2^{|\beta|+|\delta|} \\
 & = C'_K \left( \frac{2^s C_K}{C} \right)^{|\alpha|+1} \left( \frac{\alpha!}{|\alpha|^{|\alpha|}} \right)^{s-1}, \quad C'_K = 2CC_K \\
 & \leq C'_K \left( \frac{2^s C_K}{C} \right)^{|\alpha|+1} \left( \frac{|\alpha|!}{|\alpha|^{|\alpha|}} \right)^{s-1} \\
 & \leq C'_K \left( \frac{2^s C_K}{C} \right)^{|\alpha|+1} \left( \frac{\sqrt{2\pi|\alpha|}}{e^{|\alpha|-1}} \right)^{s-1}, \text{ (by Stirling's formula)}
 \end{aligned} \tag{10}$$

From inequality (9) we have

$$\frac{1}{(2C)^{\frac{1}{s-1}}} \frac{1}{|y|^{\frac{1}{s-1}}} \leq |\alpha| + 1 \Rightarrow \frac{1}{|y|^{\frac{1}{s-1}}} \left( \frac{1}{(2C)^{\frac{1}{s-1}}} - |y|^{\frac{1}{s-1}} \right) \leq |\alpha|.$$

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Thus if  $|y|$  is small, say  $|y|^{\frac{1}{s-1}} < \frac{1}{2(2C)^{\frac{1}{s-1}}}$  and  $\Sigma_1(x, y) \neq 0$ , then we get 83

$$\frac{1}{|y|^{\frac{1}{s-1}}} \left( \frac{1}{(2C)^{\frac{1}{s-1}}} - \frac{1}{2(2C)^{\frac{1}{s-1}}} \right) \leq \frac{1}{|y|^{\frac{1}{s-1}}} \left( \frac{1}{(2C)^{\frac{1}{s-1}}} - |y|^{\frac{1}{s-1}} \right) \leq |\alpha|.$$

Hence, 84

$$\frac{A_s}{|y|^{\frac{1}{s-1}}} \leq |\alpha|, A_s = \frac{1}{2(2C)^{\frac{1}{s-1}}}.$$

Thus, 85

$$\frac{1}{|\alpha|^{N+1}} \leq \frac{|y|^{\frac{N+1}{s-1}}}{A_s^{N+1}}, N = 0, 1, 2, \dots \quad (11)$$

From (10) and (11) we get 86

$$\begin{aligned} & \left| \frac{i^{|\alpha|}}{\alpha!} \left( \partial_x^{\alpha+e_j} f \right) (x) y^\alpha \left( \chi \left( \frac{|y|}{a_{|\alpha|}} \right) - \chi \left( \frac{|y|}{a_{|\alpha|+1}} \right) \right) \right| \\ & \leq C'_K \left( \frac{2^s C_K}{C} \right)^{|\alpha|+1} \left( \frac{\sqrt{2\pi} |\alpha|}{e^{|\alpha|-1}} \right)^{s-1} \\ & = C''_K \left( \frac{2^s C_K}{C} \right)^{|\alpha|+1} \sqrt{|\alpha|^{s-1}} e^{-|\alpha|(s-1)}, C''_K = C'_K e^{s-1} \sqrt{2\pi}^{s-1} > 0 \\ & \leq C''_K \left( \frac{2^s C_K}{C} \right)^{|\alpha|+1} \sqrt{|\alpha|^{s-1}} \frac{(N+1)!}{(s-1)^{N+1}} \frac{1}{|\alpha|^{(N+1)}}, N = 0, 1, 2, \dots \\ & \leq \left( \frac{C''_K + 1}{(s-1)A_s} \right)^{N+1} (N+1)! \left( \frac{2^s C_K}{C} \right)^{|\alpha|+1} \sqrt{|\alpha|^{s-1}} |y|^{\frac{N+1}{s-1}}. \end{aligned} \quad (12)$$

Thus using (12), we get 87

$$\begin{aligned} & \left| \frac{i^{|\alpha|}}{\alpha!} \left( \partial_x^{\alpha+e_j} f \right) (x) y^\alpha \left( \chi \left( \frac{|y|}{a_{|\alpha|}} \right) - \chi \left( \frac{|y|}{a_{|\alpha|+1}} \right) \right) \right| \\ & \leq \left( \frac{C''_K + 1}{(s-1)A_s} \right)^{N+1} (N+1)! \left( \frac{2^s C_K}{C} \right)^{|\alpha|+1} e^{|\alpha| \left( \frac{s-1}{2} \right)} |y|^{\frac{N+1}{s-1}} \\ & \leq D_1^{N+1} (N+1)! \left( \frac{2^s C_K e^{\frac{s-1}{2}}}{C} \right)^{|\alpha|+1} |y|^{\frac{N+1}{s-1}}, D_1 = \frac{C''_K + 1}{(s-1)A_s} \end{aligned}$$



$$\leq D_1^{N+1}(N+1)!|y|^{\frac{N+1}{s-1}}$$

$$\left( \text{we may assume } C \text{ was chosen so that } \frac{2^s C_K e^{\frac{s-1}{2}}}{C} \leq 1 \right)$$

Thus

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$$\left| \frac{i^{|\alpha|}}{\alpha!} (\partial_x^{\alpha+e_j f})(x) y^\alpha \left( \chi \left( \frac{|y|}{a_{|\alpha|}} \right) - \chi \left( \frac{|y|}{a_{|\alpha|+1}} \right) \right) \right| \leq D_1^{N+1}(N+1)!|y|^{\frac{N+1}{s-1}}, \quad N = 0, 1, 2, \dots$$

(13)

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From equation (9), when  $\Sigma_1(x, y) \neq 0$ , we have

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$$|\alpha| \leq \frac{1}{C^{\frac{1}{s-1}} |y|^{\frac{1}{s-1}}}.$$

Therefore, using this and inequality (13), we have

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$$\begin{aligned} |\Sigma_1(x, y)| &\leq \sum_{|\alpha| \leq \frac{1}{C^{\frac{1}{s-1}} |y|^{\frac{1}{s-1}}}} D_1^{N+1}(N+1)!|y|^{\frac{N+1}{s-1}}, \quad N = 0, 1, 2, \dots \\ &= D_1^{N+1}(N+1)!|y|^{\frac{N+1}{s-1}} \sum_{|\alpha| \leq \frac{1}{C^{\frac{1}{s-1}} |y|^{\frac{1}{s-1}}}} 1 \\ &\leq D_1^{N+1}(N+1)!|y|^{\frac{N+1}{s-1}} \frac{1}{C^{\frac{m}{s-1}} |y|^{\frac{m}{s-1}}} \\ &\leq D_3^{k+1} k! |y|^{\frac{k}{s-1}}, \quad k = 0, 1, 2, \dots \quad D_3 \text{ independent of } k. \end{aligned}$$

(14)

Consider  $\Sigma_2(x, y)$  : Since  $\chi \equiv 0$  outside  $(-1, 1)$  and  $\chi \equiv 1$  on  $[-\frac{1}{2}, \frac{1}{2}]$ , we see that  $\chi' \equiv 0$  on  $[-\frac{1}{2}, \frac{1}{2}]$  and outside  $(-1, 1)$ . Thus

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$$\Sigma_2(x, y) = \frac{i}{2} \sum_{\alpha} \frac{i^{|\alpha|}}{\alpha!} y^\alpha (\partial_x^{\alpha} f)(x) \chi' \left( \frac{|y|}{a_{|\alpha|}} \right) \frac{y_j}{a_{|\alpha|} |y|} \neq 0,$$

$$\Rightarrow \frac{1}{2} \leq \frac{|y|}{a_{|\alpha|}} \leq 1 \Rightarrow \frac{a_{|\alpha|}}{2} \leq |y| \leq a_{|\alpha|}.$$

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By the same method as we used for the estimate of  $\Sigma_1(x, y)$ , there is  $D_4 > 0$  such that

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$$|\Sigma_2(x, y)| \leq D_4^{N+1} N! |y|^{\frac{N}{s-1}}, \quad N = 0, 1, 2, \dots$$

(15)

Combining (14) and (15), we have for some  $A > 0$

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$$\left| \frac{\partial F}{\partial \bar{z}_j}(x, y) \right| \leq A^{N+1} N! |y|^{\frac{N}{s-1}}, \quad N = 0, 1, 2, \dots, \quad \forall j = 1, 2, \dots, m$$

and hence (2) in Theorem 2.1 holds. Conversely, suppose that for each  $K \subset\subset \Omega$  there is  $F(x, y) \in C^1(K \times \mathbb{R}^m)$  such that

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1.  $F(x, 0) = f(x)$  and

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2.

$$\left| \frac{\partial F}{\partial \bar{z}_j}(x, y) \right| \leq c^{N+1} N! |y|^{\frac{N}{s-1}}, \quad j = 1, 2, \dots, m$$

for some constant  $c > 0$ .

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We wish to show that  $f(x) \in G^s(\Omega)$ . It is sufficient to show that  $f \in G^s(B)$  for each sufficiently small ball in  $\Omega$ . Let  $B_{2r}$  be a ball of radius  $2r$  whose closure is in  $\Omega$ , and let  $F(x, y)$  be given as above on a neighborhood of the closure of  $\Omega_r = B_{2r} \times B_r$ . We may assume that  $F(x, y) \equiv 0$  for  $|y| \geq r$ .

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Set

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$$\omega(z) = dz_1 \wedge \dots \wedge dz_m.$$

For  $n \geq 1$ , let  $\sigma_n$  denotes the area of the unit sphere  $S^{n-1}$  in  $\mathbb{R}^n$ . We will identify  $\mathbb{C}^m$  with  $\mathbb{R}^{2m}$ . For  $k = 1, \dots, m$ , let

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$$\omega_k(\bar{z}) = (-1)^{k-1} d\bar{z}_1 \wedge \dots \wedge d\bar{z}_{k-1} \wedge \widehat{d\bar{z}_k} \wedge d\bar{z}_{k+1} \wedge \dots \wedge d\bar{z}_m$$

where  $d\bar{z}_k$  is removed. For each  $x \in B_r$ , from the higher dimensional version of the inhomogeneous Cauchy Integral Formula, we have

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$$\begin{aligned} f(x) = F(x, 0) &= \frac{2(2i)^{-m}}{\sigma_{2m}} \int_{\partial\Omega_r} F(w) \sum_{k=1}^m (\bar{w}_k - x_k) |w - x|^{-2m} \omega_k(\bar{w}) \wedge \omega(w) \\ &\quad - \frac{2(2i)^{-m}}{\sigma_{2m}} \int_{\Omega_r} \sum_{k=1}^m \frac{\partial F}{\partial \bar{w}_k}(w) (\bar{w}_k - x_k) |w - x|^{-2m} \omega(\bar{w}) \wedge \omega(w) \\ &= g(x) + h(x) \end{aligned} \tag{16}$$

Clearly,  $g(x)$  is real analytic on  $B_r$ . If we show  $h \in G^s(B_r)$ , we will be done. For each  $\alpha = (\alpha_1, \dots, \alpha_m)$ , we have

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$$\partial^\alpha h(x) = -\frac{2(2i)^{-m}}{\sigma_{2m}} \int_{\Omega_r} \sum_{k=1}^m \frac{\partial F}{\partial \bar{w}_k}(w) \partial_x^\alpha ((\bar{w}_k - x_k) |w - x|^{-2m}) \omega(\bar{w}) \wedge \omega(w) \tag{17}$$

For  $x \neq w$ ,

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$$\begin{aligned}
 \partial_x^\alpha ((\bar{w}_k - x_k)|w - x|^{-2m}) &= \sum_{\beta \leq \alpha} \frac{\alpha!}{(\alpha - \beta)! \beta!} \partial_x^\beta (\bar{w}_k - x_k) \partial_x^{\alpha - \beta} (|w - x|^{-2m}) \\
 &= (\bar{w}_k - x_k) \partial_x^\alpha (|w - x|^{-2m}) - \frac{\alpha!}{(\alpha - e_k)!} \partial_x^{\alpha - e_k} (|w - x|^{-2m}) \\
 &= (\bar{w}_k - x_k) \partial_x^\alpha (|w - x|^{-2m}) - \alpha_k \partial_x^{\alpha - e_k} (|w - x|^{-2m}). \tag{18}
 \end{aligned}$$

We have

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$$\begin{aligned}
 \partial_x^\alpha (|w - x|^{-2m}) &= \sum_{\beta \leq \alpha} a_\beta (w - x)^\beta |w - x|^{-2m - |\beta| - |\alpha|}, \text{ and so} \\
 \partial_x^{\alpha - e_k} (|w - x|^{-2m}) &= \sum_{\beta \leq \alpha - e_k} b_\beta (w - x)^\beta |w - x|^{-2m - |\beta| - |\alpha| + 1}. \tag{19}
 \end{aligned}$$

where  $a_\beta$  and  $b_\beta$  are constants. Plugging (19) into (18) results in

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$$\begin{aligned}
 &|\partial_x^\alpha ((\bar{w}_k - x_k)|w - x|^{-2m})| \\
 &\leq |w - x| |\partial_x^\alpha (|w - x|^{-2m})| + \alpha_k |\partial_x^{\alpha - e_k} (|w - x|^{-2m})| \\
 &\leq \sum_{\beta \leq \alpha} |a_\beta| |w - x|^{-2m - |\alpha| + 1} + \alpha_k \sum_{\beta \leq \alpha - e_k} |b_\beta| |w - x|^{-2m - |\alpha| + 1} \\
 &\leq C_1 (|\alpha| + 1)^m |w - x|^{-2m - |\alpha| + 1} \tag{20}
 \end{aligned}$$

Using the hypothesis, equation (17) and inequality (20), we have

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$$\begin{aligned}
 |\partial^\alpha h(x)| &\leq \frac{2^{1-m}}{\sigma_{2m}} \int_{\Omega_r} \sum_{k=1}^m \left| \frac{\partial F}{\partial \bar{w}_k}(w) \right| |\partial_x^\alpha ((\bar{w}_k - x_k)|w - x|^{-2m})| |\omega(\bar{w}) \wedge \omega(w)| \\
 &\leq \frac{22^{-m}}{\sigma_{2m}} C_1 (|\alpha| + 1)^m c^{N+1} N! \int_{\Omega_r} \sum_{k=1}^m \frac{|\Im w|^{\frac{N}{s-1}}}{|w - x|^{2m + |\alpha| - 1}} |\omega(\bar{w}) \wedge \omega(w)| \\
 &\leq \frac{22^{-m}}{\sigma_{2m}} C_1 (|\alpha| + 1)^m c^{N+1} N! \int_{\Omega_r} \sum_{k=1}^m \frac{|\Im w|^{\frac{N}{s-1}}}{|\Im w|^{2m + |\alpha| - 1}} |\omega(\bar{w}) \wedge \omega(w)| \\
 &\leq C_2^{N+1} (|\alpha| + 1)^m N! \int_{\Omega_r} |\Im w|^{\frac{N}{s-1} - (2m + |\alpha| - 1)} |\omega(\bar{w}) \wedge \omega(w)| \\
 &\leq C_2^{N+1} (|\alpha| + 1)^m N^N \int_{\Omega_r} |\Im w|^{\frac{N}{s-1} - (2m + |\alpha| - 1)} |\omega(\bar{w}) \wedge \omega(w)| \tag{21}
 \end{aligned}$$

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for some  $C_2 > 0$ . Choose  $N$  such that

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$$2m + |\alpha| - 1 \leq \frac{N}{s-1} \leq 2m + |\alpha| + \frac{1}{s-1}.$$

Then

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$$|\mathfrak{S}w|^{\frac{N}{s-1} - (2m + |\alpha| - 1)} \leq (|\mathfrak{S}w| + 1)^{\frac{s}{s-1}}.$$

Since  $N \leq s(2m + |\alpha|)$ , (21) becomes

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$$\begin{aligned} |\partial^\alpha h(x)| &\leq (C_2 + 1)^{s(2m + |\alpha|) + 1} (|\alpha| + 1)^m (s(2m + |\alpha|))^{s(2m + |\alpha|)} \int_{\Omega_r} (|\mathfrak{S}w| + 1)^{\frac{s}{s-1}} |\omega(\bar{w}) \wedge dw| \\ &= C' (C_2 + 1)^{s(2m + |\alpha|) + 1} (|\alpha| + 1)^m (s(2m + |\alpha|))^{s(2m + |\alpha|)} \\ &\leq A_1^{|\alpha| + 1} (2m + |\alpha|)^{s(2m + |\alpha|)}, \text{ some } A_1 > 0 \\ &\leq A_1^{|\alpha| + 1} e^{s(2m + |\alpha|)} ((2m + |\alpha|)!)^s, \text{ we used } N^N \leq e^N N! \\ &\leq A_2^{|\alpha| + 1} ((2m + |\alpha|)!)^s \text{ some } A_2 > 0 \\ &\leq A_2^{|\alpha| + 1} 2^{s(2m + |\alpha|)} ((2m)!)^s (|\alpha|!)^s, \text{ we used } (j+k)! \leq 2^{j+k} k! j! \\ &\leq A_3^{|\alpha| + 1} (|\alpha|!)^s, \text{ some } A_3 > 0 \\ &\leq A_3^{|\alpha| + 1} 2^{s|\alpha|} (\alpha!)^s, \text{ since } |\alpha|! \leq 2^{|\alpha|} \alpha! \\ &\leq A_4^{|\alpha| + 1} (\alpha!)^s \text{ for some } A_4 > 0. \end{aligned}$$

Therefore,  $h(x) \in G^s(B_r)$  and so the proof is complete.

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For  $\Gamma \subset \mathbb{R}^m$  a cone and  $\delta > 0$ , we set

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$$\Gamma^\delta = \{v \in \Gamma : |v| < \delta\}.$$

**Definition 3** If  $V \subset \mathbb{R}^m$  is open, we say a function  $f(x, y)$  defined on  $V + i\Gamma^\delta$  is of tempered growth if

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$$|f(x, y)| \leq C|y|^{-k}$$

for some constant  $C$  and positive integer  $k$ .

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The following theorem is a microlocal version of Theorem 2.1.

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**Theorem 2** Let  $u \in \mathcal{D}'(\Omega)$ . Then for any  $x_0 \in \Omega$  and  $\xi^0 \in \mathbb{R}^m \setminus \{0\}$ ,  $(x_0, \xi^0) \notin WF_s(u)$  ( $s > 1$ ) if and only if there is a neighborhood  $V$  of  $x_0$ , acute open cones  $\Gamma_1, \dots, \Gamma_n \subset \mathbb{R}^m \setminus \{0\}$  and  $C^1$  functions  $f_j$  on  $V + i\Gamma_j^\delta$  (for some  $\delta > 0$ ) of tempered growth such that

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1.  $u = \sum_{j=1}^n bf_j$  near  $x_0$ , 131
2.  $\xi^0 \cdot \Gamma_j < 0, \forall j$ , 132
3. 136

$$\left| \frac{\partial f_j}{\partial \bar{z}_k}(x, y) \right| \leq A \exp\left(\frac{-\epsilon}{|y|^{\frac{1}{s-1}}}\right), \forall j = 1, 2, \dots, n, \forall k = 1, 2, \dots, m$$

for some  $A, \epsilon > 0$ . 133

Equivalently,  $\left| \frac{\partial f_j}{\partial \bar{z}_k}(x, y) \right| \leq c^{N+1} N^N |y|^{\frac{N}{s-1}}, N = 0, 1, 2, \dots$  134

*Proof* Suppose  $u = bf$  on  $V$  where  $f$  is  $C^1$  and of tempered growth on  $V + i\Gamma^\delta, \xi^0$ . 135  
 $\Gamma < 0$  and 136

$$\left| \frac{\partial f}{\partial \bar{z}_j}(x, y) \right| \leq A \exp\left(\frac{-\epsilon}{|y|^{\frac{1}{s-1}}}\right) \quad j = 1, 2, \dots, m \quad (22)$$

for some  $A > 0, V$  a neighborhood of  $x_0$  and  $\Gamma$  some conic set. We want to show 137  
 that  $(x_0, \xi^0) \notin WF_s(u), s > 1$ . By Corollary 1.4.11 in [13], for each  $n \geq 1$ , we can 138  
 choose smooth functions  $f_n(x)$  that satisfy 139

1.  $f_n(x) = 1$  on  $B_r(0), \text{supp}(f_n) \subset B_{2r}(0)$ , for some  $r > 0$  and 140
2.  $|D^\alpha f_n| \leq C^{|\alpha|} (n+1)^{|\alpha|}$  for  $|\alpha| \leq n+1$ , for some  $C > 0$  independent of  $n$ . 141

Define 142

$$F_n(x + iy) = \sum_{|\alpha| \leq n} \frac{1}{\alpha!} \partial_x^\alpha f_n(x) (iy)^\alpha. \quad (23)$$

Then 143

$$\begin{aligned} \left| \frac{\partial F_n}{\partial \bar{z}_j}(x + iy) \right| &= \left| \frac{1}{2} \frac{\partial}{\partial x_j} \left( \sum_{|\alpha| \leq n} \frac{1}{\alpha!} \partial_x^\alpha f_n(x) (iy)^\alpha \right) + \frac{i}{2} \frac{\partial}{\partial y_j} \left( \sum_{|\alpha| \leq n} \frac{1}{\alpha!} \partial_x^\alpha f_n(x) (iy)^\alpha \right) \right| \\ &= \left| \frac{1}{2} \sum_{|\alpha| \leq n} \frac{1}{\alpha!} \partial_x^{\alpha+e_j} f_n(x) (iy)^\alpha - \frac{1}{2} \sum_{|\alpha| \leq n, \alpha_j \geq 1} \frac{\alpha_j}{\alpha!} \partial_x^\alpha f_n(x) (iy)^{\alpha-e_j} \right| \\ &= \left| \frac{1}{2} \sum_{|\alpha|=n} \frac{1}{\alpha!} \partial_x^{\alpha+e_j} f_n(x) (iy)^\alpha \right| \\ &\leq (C+1)^{n+1} (n+1)^{n+1} |y|^n \sum_{|\alpha|=n} \frac{1}{\alpha!} \end{aligned}$$

$$\begin{aligned}
 &= \frac{m^n}{n!} (C+1)^{n+1} (n+1)^{n+1} |y|^n \\
 &\quad \left( \text{since } m^n = (1 + \dots + 1)^n = \sum_{|\alpha|=n} \frac{n!}{\alpha!} \right) \\
 &\leq \frac{1}{n!} C_1^{n+1} (n+1)^{n+1} |y|^n, \quad C_1 > 0 \text{ (for some } C_1 \text{ independent of } n).
 \end{aligned} \tag{24}$$

Fix  $y^0 \in \Gamma$ . Since  $y^0 \cdot \xi^0 < 0$ , there is a conic neighborhood  $\Gamma_0$  of  $\xi^0$  and a constant  $c > 0$  such that

$$y^0 \cdot \xi \leq -c|\xi|, \quad \forall \xi \in \Gamma_0. \tag{25}$$

For  $0 < \lambda < 1$ , let

$$D_\lambda = \{x + iy^0 : x \in B_{2r}(0), \lambda \leq t \leq 1\}.$$

We have

$$\begin{aligned}
 |F_n(x + iy)| &\leq \sum_{|\alpha| \leq n} \frac{C^{|\alpha|} (n+1)^{|\alpha|}}{\alpha!} |y|^{|\alpha|} = \sum_{k=0}^n \sum_{|\alpha|=k} \frac{C^k (n+1)^k}{\alpha!} |y|^k \\
 &= \sum_{k=0}^n \frac{(mC(n+1)|y|)^k}{k!} \\
 &\leq e^{n+1} \quad (\text{we choose } \delta \text{ and hence } y \text{ small enough}).
 \end{aligned}$$

This estimate on  $F_n$  will be used below. Consider the  $m$ -form

$$F(x, y, \xi) = e^{-(x+iy)\cdot\xi} F_n(x + iy) f(x + iy) dz$$

for  $(x, y) \in D_\lambda, \xi \in \Gamma_0$ , where  $dz = dz_1 \wedge \dots \wedge dz_m$ . Since  $e^{-iz\cdot\xi}$  is holomorphic in  $z$ , we have by Stokes theorem

$$\begin{aligned}
 \left| \int_{B_{2r}(0)} F(x, \lambda y^0, \xi) dx \right| &\leq \int_{B_{2r}(0)} |F(x, y^0, \xi)| dx \\
 &\quad + \sum_{j=1}^m \int \int_{D_\lambda} \left| e^{-i(x+iy)\cdot\xi} F_n(x + iy) \frac{\partial f}{\partial \bar{z}_j}(x + iy) d\bar{z}_j \wedge dz \right| \\
 &\quad + \sum_{j=1}^m \int \int_{D_\lambda} \left| e^{-i(x+iy)\cdot\xi} f(x + iy) \frac{\partial F_n}{\partial \bar{z}_j}(x + iy) d\bar{z}_j \wedge dz \right|
 \end{aligned}$$

$$= I_0(\xi) + I_1^\lambda(\xi) + I_2^\lambda(\xi) \tag{26}$$

Consider  $I_0(\xi)$  : For  $\xi \in \Gamma_0$ ,

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$$\begin{aligned} I_0(\xi) &= \int_{B_{2r}(0)} |F(x, y^0, \xi)| dx \\ &= \int_{B_{2r}(0)} \left| e^{-i(x+iy^0)\cdot\xi} F_n(x + iy^0) f(x + iy^0) \right| dx \\ &\leq C' C_1 e^{n+1} \int_{B_{2r}(0)} e^{y^0 \cdot \xi} dx, \quad C' = \sup_{B_{2r}(0)} |f(x + iy^0)| \\ &\leq C'' e^{n+1} e^{-c|\xi|}, \quad \text{by (25)} \\ &\leq C_0^{N+1} e^{n+1} N! |\xi|^{-\frac{N}{s}}, \quad \forall \xi \in \Gamma_0, \quad N = 0, 1, 2, \dots \end{aligned} \tag{27}$$

Consider  $I_1^\lambda(\xi)$  : Putting  $y = ty^0$ , and using (22) and (25) we have

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$$\begin{aligned} I_1^\lambda(\xi) &= \sum_{j=1}^m \int \int_{D_\lambda} \left| e^{-i(x+ity^0)\cdot\xi} F_n(x + ity^0) \frac{\partial f}{\partial \bar{z}_j}(x + ity^0) d\bar{z}_j \wedge dz \right| \\ &\leq A' e^{n+1} e^{-ct|\xi|} \exp\left(\frac{-\epsilon}{|ty^0|^{\frac{1}{s-1}}}\right) \sum_{j=1}^m \int \int_{D_\lambda} |d\bar{z}_j \wedge dz| \\ &\leq A'' e^{n+1} e^{-ct|\xi|} \exp\left(\frac{-\epsilon'}{t^{\frac{1}{s-1}}}\right) \\ &\leq A'' e^{n+1} \left(\frac{N}{s}\right)^{\frac{N}{s}} e^{-\frac{N}{s}} \frac{1}{(ct|\xi|)^{\frac{N}{s}}} \left[\left(\frac{s-1}{s}\right)N\right]^{\left(\frac{s-1}{s}\right)N} e^{-\left(\frac{s-1}{s}\right)N} \left(\frac{1}{\epsilon'}\right)^{\left(\frac{s-1}{s}\right)N} \\ &\leq C_2^{N+1} e^{n+1} N^N |\xi|^{-\frac{N}{s}}, \quad N = 0, 1, 2, \dots, \quad \forall \xi \in \Gamma_0, \end{aligned} \tag{28}$$

where we used the inequality  $e^{-t} \leq d^d e^{-d} \frac{1}{t^d}$  (see 1.2.16 in [13]) with  $d = \frac{N}{s}$  for  $e^{-ct|\xi|}$  and  $d = \left(\frac{s-1}{s}\right)N$  for  $\exp\left(-\frac{\epsilon'}{t^{\frac{1}{s-1}}}\right)$ .

Finally, consider  $I_2^\lambda(\xi)$  : Since  $f$  is of tempered growth, there are a constant  $c' > 0$  and an integer  $k \geq 1$  such that

$$|f(x + iy^0)| \leq \frac{c'}{t^k |y^0|^k}, \quad \forall |x| < 2r, \quad \lambda \leq t \leq 1. \tag{29}$$

Using (24), (25) and (29) we have

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$$\begin{aligned}
 I_2^\lambda(\xi) &= \sum_{j=1}^m \int \int_{D_\lambda} \left| e^{-i(x+iy^0)\cdot\xi} f(x+iy^0) \frac{\partial F_n}{\partial \bar{z}_j}(x+iy^0) d\bar{z}_j \wedge dz \right| \\
 &\leq \frac{c'}{t^k |y^0|^k} \frac{1}{n!} e^{-ct|\xi|} C_1^{n+1} (n+1)^{n+1} |ty^0|^{n-1} \\
 &\leq \frac{1}{t^k} e^{-ct|\xi|} \frac{1}{n!} C_3^{n+1} (n+1)^{n+1} t^{n-1} \\
 &\leq \frac{1}{t^{k+1}} e^{-ct|\xi|} \frac{1}{n!} C_3^{n+1} (n+1)^{n+1} t^n
 \end{aligned} \tag{30}$$

Given  $N$ , choose  $n$  such that

$$\frac{N}{s} + k + 1 \leq n \leq \frac{N+s}{s} + k + 1.$$

Since  $t \leq 1$ , (30) becomes

$$\begin{aligned}
 I_2^\lambda(\xi) &\leq \frac{1}{t^{k+1}} e^{-ct|\xi|} C_3^{n+1} \frac{(n+1)^{n+1}}{n!} t^n \\
 &\leq \frac{1}{t^{k+1}} e^{-ct|\xi|} C_3^{\frac{N+s}{s}+k+2} (n+1)^{n+1} t^{\frac{N}{s}+k+1} \\
 &\leq \left(\frac{N}{s}\right)^{\frac{N}{s}} e^{-\frac{N}{s}} \frac{1}{t^{\frac{N}{s}} c^{\frac{N}{s}} |\xi|^{\frac{N}{s}}} C_4^{\frac{N+s}{s}+k+2} \left(\frac{N+s}{s} + k + 2\right)^{\frac{N+s}{s}+k+2} t^{\frac{N}{s}} \\
 &\quad \left(\text{we used } e^{-t} \leq d^d e^{-d} t^{-d} \text{ with } d = \frac{N}{s}\right) \\
 &\leq \left(\frac{N}{s}\right)^{\frac{N}{s}} \frac{1}{c^{\frac{N}{s}} |\xi|^{\frac{N}{s}}} C_4^{\frac{N+s}{s}+k+2} \left(\frac{N+s}{s} + k + 2\right)^{\frac{N+s}{s}+k+2} \\
 &\leq B^{N+1} N! |\xi|^{-\frac{N}{s}}, \text{ some } B > 0, N = 0, 1, 2, \dots, \xi \in \Gamma_0.
 \end{aligned} \tag{31}$$

where  $B$  is independent of  $n$ . Using (25), (26), (27), (28) and (31), there is a constant  $B_1 > 0$  independent of  $\lambda$  such that

$$\begin{aligned}
 \left| \widehat{f_n u}(\xi) \right| &= \left| \int_{B_{2r}(0)} e^{-ix\cdot\xi} f_n(x) u(x) dx \right| \\
 &= \lim_{\lambda \rightarrow 0} \left| \int_{B_{2r}(0)} e^{-i(x+i\lambda y^0)\cdot\xi} F_n(x+i\lambda y^0) f(x+i\lambda y^0) dx \right| \\
 &\leq B_1^{N+1} N! |\xi|^{-\frac{N}{s}}, \quad N = 0, 1, 2, \dots, \xi \in \Gamma_0.
 \end{aligned}$$

Therefore,  $(x_0, \xi^0) \notin WF_s(u)$ .

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Conversely, suppose  $(x_0, \xi^0) \notin WF_s(u)$ . Then there is  $\phi \in G^s \cap C_0^\infty$ ,  $\phi \equiv 1$  near  $x_0$  such that 164  
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$$\left| \widehat{\phi u}(\xi) \right| \leq C^{N+1} N! |\xi|^{-\frac{N}{s}}, \quad N = 0, 1, 2, \dots,$$

for  $\xi$  in some conic neighborhood  $\Gamma$  of  $\xi^0$  and for some constant  $C > 0$ . Let  $C_j$ ,  $1 \leq j \leq n$  be acute, open cones such that 166  
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$$\mathbb{R}^m = \bigcup_{j=1}^n \overline{C_j}, \quad |\overline{C_j} \cap \overline{C_k}| = 0, \quad j \neq k.$$

Assume that  $\xi^0 \in C_1$  and  $\xi^0 \notin \overline{C_j}$  for  $j \geq 2$ . Then we can get acute, open cones  $\Gamma_j$ ,  $2 \leq j \leq n$  and a constant  $c > 0$  such that 168  
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$$\xi^0 \cdot \Gamma_j < 0 \quad \text{and} \quad y \cdot \xi \geq c|y||\xi|, \quad \forall y \in \Gamma_j, \quad \forall \xi \in C_j. \quad (32)$$

By the inversion formula we have 170

$$\phi(x)u(x) = \frac{1}{(2\pi)^m} \int_{\mathbb{R}^m} e^{ix \cdot \xi} \widehat{\phi u}(\xi) d\xi = \frac{1}{(2\pi)^m} \sum_{j=1}^n \int_{C_j} e^{ix \cdot \xi} \widehat{\phi u}(\xi) d\xi.$$

For  $x + iy \in \mathbb{R}^m + i\Gamma_j$ ,  $j \geq 2$  define 171

$$f_j(x + iy) = \int_{C_j} e^{i(x+iy) \cdot \xi} \widehat{\phi u}(\xi) \frac{d\xi}{(2\pi)^m}.$$

using (32), we see that  $f_j$  ( $j \geq 2$ ) is holomorphic on the wedge  $\mathbb{R}^m + i\Gamma_j$  and is of tempered growth. Let 172  
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$$g_1(x) = \int_{C_1} e^{ix \cdot \xi} \widehat{\phi u}(\xi) \frac{d\xi}{(2\pi)^m} = g_{11}(x) + g_{12}(x)$$

where 174

$$g_{11}(x) = \int_{\xi \in C_1, |\xi| \leq 1} e^{ix \cdot \xi} \widehat{\phi u}(\xi) \frac{d\xi}{(2\pi)^m}, \quad g_{12}(x) = \int_{\xi \in C_1, |\xi| \geq 1} e^{ix \cdot \xi} \widehat{\phi u}(\xi) \frac{d\xi}{(2\pi)^m}.$$

Assume  $C_1 \subset \Gamma$ . Clearly  $g_{11}(x)$  is real analytic on  $\mathbb{R}^m$ . We have 175

$$\begin{aligned} |\partial^\alpha g_{12}(x)| &= \left| \int_{\xi \in C_1, |\xi| \geq 1} e^{ix \cdot \xi} \xi^\alpha \widehat{\phi u}(\xi) \frac{d\xi}{(2\pi)^m} \right| \\ &\leq C^{N+1} N! \int_{\xi \in C_1, |\xi| \geq 1} |\xi|^{|\alpha|} |\xi|^{-\frac{N}{s}} d\xi \end{aligned}$$

$$\begin{aligned} &\leq C^{N+1} N^N \int_{\xi \in C_1, |\xi| \geq 1} |\xi|^{|\alpha|} |\xi|^{-\frac{N}{s}} d\xi \\ &\leq C_2^{(m+1+|\alpha|)s+1} [(m+1+|\alpha|)s]^{(m+1+|\alpha|)s} \int_{\xi \in C_1, |\xi| \geq 1} |\xi|^{|\alpha|} |\xi|^{-m-1-|\alpha|} d\xi \\ &\quad \text{(taking } N \sim (m+1+|\alpha|)s) \\ &\leq A^{|\alpha|+1} (\alpha!)^s, \text{ for some } A > 0. \end{aligned}$$

Therefore,  $g_1 \in G^s$ . By theorem 1, if  $K$  is a compact set whose interior contains  $x_0$ , there is  $f_1(x+iy) \in C^1(K+i\mathbb{R}^m)$  such that  $f_1(x) = g_1(x), x \in K$  and

$$\left| \frac{\partial f_1}{\partial \bar{z}_j}(x, y) \right| \leq c_1 \exp\left(\frac{-c_2}{|y|^{\frac{1}{s-1}}}\right), \forall j = 1, 2, \dots, m$$

for some constants  $c_1, c_2 > 0$ . Let  $\Gamma_1$  be any open cone such that  $\xi^0 \cdot \Gamma_1 < 0$ . Let  $V \subset K$  be an open such that  $x_0 \in V$ . Then we have found functions  $f_j(x+iy) (1 \leq j \leq n) \in C^1$  on  $V+i\Gamma_j^\delta$  (for some  $\delta > 0$ ) and of tempered growth such that  $\phi u = \sum_{j=1}^n b_j f_j$  on  $V$ . By contracting  $V$  we have  $\phi \equiv 1$  on  $V$  and so  $u = \sum_{j=1}^n b_j f_j$  on  $V$ . Thus, the proof is complete.

### 3 Characterization of the Gevrey Wave Front Set

For  $u \in \mathcal{E}'(\mathbb{R}^m)$  we recall that the classical FBI transform of  $u$  is

$$\mathcal{F}u(x, \xi) = \int_{\mathbb{R}^m} e^{i\xi \cdot (x-x') - |\xi||x-x'|^2} u(x') dx'.$$

We recall the following theorem of M. Christ which characterizes the Gevrey wave front set of a function in terms of the classical FBI transform.

**Theorem 3** ([7]). *Let  $u \in \mathcal{E}'(\mathbb{R}^m)$ . Let  $x_0 \in \mathbb{R}^m, \xi^0 \in \mathbb{R}^m \setminus \{0\}$ . Then  $(x_0, \xi^0) \notin WF_s(u)$  if and only if there is a neighborhood  $V$  of  $x_0$ , a conic neighborhood  $\Gamma$  of  $\xi^0$  such that for some  $\varphi \in C_0^\infty(\mathbb{R}^m), \varphi \equiv 1$  near  $x_0$ ,*

$$|\mathcal{F}(\varphi u)(x, \xi)| \leq c_1 \exp\left(-c_2 |\xi|^{\frac{1}{s}}\right), \forall (x, \xi) \in V \times \Gamma$$

for some constants  $c_1, c_2 > 0$ .

Our goal is to generalize Christ's theorem to a subclass of the generalized FBI transforms introduced in [6]. We will consider a polynomial which is a sum of elliptic, homogeneous polynomials.

Let  $p(x)$  be a positive polynomial of the form

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$$p(x) = \sum_{|\alpha|=2l} a_\alpha x^\alpha + \sum_{|\beta|=2k} b_\beta x^\beta, a_\alpha, b_\beta \in \mathbb{R}, l \neq k$$

which satisfies

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$$c_1|x|^{2l} \leq \sum_{|\alpha|=2l} a_\alpha x^\alpha \leq c_2|x|^{2l}$$

and

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$$c_3|x|^{2k} \leq \sum_{|\beta|=2k} b_\beta x^\beta \leq c_4|x|^{2k}$$

for some constants  $0 < c_1 \leq c_2$  and  $0 < c_3 \leq c_4$ .

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Suppose  $l < k$  and let

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$$p_1(x) = \sum_{|\alpha|=2l} a_\alpha x^\alpha, p_2(x) = \sum_{|\beta|=2k} b_\beta x^\beta.$$

Take  $\psi(x) = e^{-p(x)}$  as a generating function and  $\lambda = \frac{1}{2k}$  as a parameter. Let  $c_p > 0$  be a constant such that

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$$c_p \int_{\mathbb{R}^m} \psi(x) dx = 1.$$

In this section we will consider the FBI transform given by

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$$\begin{aligned} \mathcal{F}u(t, \xi) &= c_p \int_{\mathbb{R}^m} e^{i\xi \cdot (t-x')} \psi(|\xi|^\lambda (t-x')) u(x') dx' \\ &= c_p \int_{\mathbb{R}^m} e^{i\xi \cdot (t-x') - |\xi|^{\frac{1}{k}} p_1(t-x') - |\xi|^{\frac{1}{2k}} p_2(t-x')} u(x') dx'. \end{aligned}$$

Let  $\chi(x) \in S(\mathbb{R}^m)$  such that  $\int_{\mathbb{R}^m} \chi(x) dx = 1$ . Set

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$$\sigma(\xi) = \frac{\hat{\chi}(\xi)}{(2\pi)^m}.$$

Then the inversion formula becomes

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$$u(x) = \lim_{\epsilon \rightarrow 0^+} \int_{\mathbb{R}^m \times \mathbb{R}^m} e^{i\xi \cdot (x-t)} \sigma(\epsilon\xi) \mathcal{F}u(t, \xi) |\xi|^{\frac{m}{2k}} dt d\xi.$$

We will show that this class of FBI transforms characterizes the Gevrey wave front set of a distribution. We remark that the proof will also work for  $p(x)$  that is a sum of a finite number of elliptic, homogeneous polynomials.

**Theorem 4** Let  $u \in \mathcal{E}'(\mathbb{R}^m)$ ,  $x_0 \in \mathbb{R}^m$ ,  $\xi^0 \in \mathbb{R}^m$  with  $|\xi^0| = 1$ . Then  $(x_0, \xi^0) \notin WF_s(u)$ ,  $s > 1$  if and only if there exist a neighborhood  $V$  of  $x_0$ , a conic neighborhood  $\Gamma$  of  $\xi^0$  and constants  $a, b > 0$  such that for some  $\phi \in C_0^\infty(\mathbb{R}^m)$ ,  $\phi \equiv 1$  near  $x_0$ ,

$$|\mathcal{F}(\phi u)(t, \xi)| \leq ae^{-b|\xi|^{\frac{1}{s}}}, (t, \xi) \in V \times \Gamma.$$

*Proof* Suppose  $(x_0, \xi^0) \notin WF_s(u)$ . We may assume that  $x_0 = 0$ . By Theorem 2.3, without loss of generality, there is  $f$  which is  $C^1$  in some truncated wedge  $V + i\Gamma_\delta$  (for some  $\delta > 0$ ) and of tempered growth with  $V$  a neighborhood of 0 and  $\Gamma$  an open cone such that

1.  $u = bf$  on  $V$ ,
2.  $\xi^0 \cdot \Gamma < 0$ , and
- 3.

$$\left| \frac{\partial f}{\partial z_j}(x + iy) \right| \leq A \exp\left(\frac{-B}{|y|^{\frac{1}{s-1}}}\right), x + iy \in V + i\Gamma_\delta$$

for some  $A, B > 0$ .

Let  $r > 0$  such that

$$B_{2r} = \{x : |x| < 2r\} \subset\subset V.$$

Let  $\phi(x) \in C_0^\infty(\mathbb{R}^m)$ ,  $\phi \equiv 1$  on  $B_r$  and  $\text{supp}(\phi) \subset B_{2r}$ .

Fix  $v \in \Gamma_\delta$ .

Let

$$Q(x', \xi, x) = i\xi \cdot (x' - x) - |\xi|^{\frac{1}{k}} p_1(x' - x) - |\xi| p_2(x' - x).$$

Then

$$\begin{aligned} \mathcal{F}(\phi u)(x', \xi) &= c_p \int_{\mathbb{R}^m} e^{Q(x', \xi, x)} \phi(x) u(x) dx \\ &= c_p \left\langle bf, \phi(x) e^{Q(x', \xi, x)} \right\rangle \\ &= c_p \lim_{t \rightarrow 0^+} \int_{B_{2r}} e^{Q(x', \xi, x)} \phi(x) f(x + itv) dx. \end{aligned}$$

Since  $\phi(x) \in C^\infty(\mathbb{R}^m)$ , it has an almost holomorphic extension  $\tilde{\phi}(x + iy)$  smooth on  $V + i\mathbb{R}^m$  with  $x$ - support in  $B_{2r}$ . Then

$$\mathcal{F}(\phi u)(x', \xi) = c_p \lim_{t \rightarrow 0^+} \int_{B_{2r}} e^{Q(x', \xi, x+itv)} \tilde{\phi}(x + itv) f(x + itv) dx.$$

For  $0 < \lambda < 1$ , let

$$D_\lambda = \{x + itv \in \mathbb{C}^m : x \in B_{2r}, \lambda \leq t \leq 1\}.$$

Consider the  $m$ -form

$$\omega(z) = e^{Q(x', \xi, z)} \tilde{\phi}(z) f(z) dz_1 \wedge \dots \wedge dz_m, z = x + iy.$$

Let  $dz = dz_1 \wedge \dots \wedge dz_m$ . Since  $\tilde{\phi}(x + iy) = 0$  for  $|x| \geq 2r$  and since  $e^{Q(x', \xi, z)}$  is holomorphic in  $z$ , by Stokes theorem

$$\begin{aligned} \mathcal{F}(\phi u)(x', \xi) &= c_p \lim_{\lambda \rightarrow 0^+} \int_{B_{2r}} e^{Q(x', \xi, x+i\lambda v)} \tilde{\phi}(x + i\lambda v) f(x + i\lambda v) dx \\ &= c_p \int_{B_{2r}} e^{Q(x', \xi, x+iv)} \tilde{\phi}(x + iv) f(x + iv) dx \\ &+ c_p \lim_{\lambda \rightarrow 0^+} \sum_{j=1}^m \int \int_{D_\lambda} e^{Q(x', \xi, x+itv)} \tilde{\phi}(x + itv) \frac{\partial f}{\partial \bar{z}_j}(x + itv) d\bar{z}_j \wedge dz \\ &+ c_p \lim_{\lambda \rightarrow 0^+} \sum_{j=1}^m \int \int_{D_\lambda} e^{Q(x', \xi, x+itv)} \frac{\partial \tilde{\phi}}{\partial \bar{z}_j}(x + itv) f(x + itv) d\bar{z}_j \wedge dz \\ &= I_0(x', \xi) + \lim_{\lambda \rightarrow 0^+} (I_1^\lambda(x', \xi) + I_2^\lambda(x', \xi)) \end{aligned}$$

Since  $v \in \Gamma$  and  $\xi^0 \cdot \Gamma < 0$ , there is a conic neighborhood  $\Gamma_1$  of  $\xi^0$  and a constant  $c > 0$  such that

$$\xi \cdot v \leq -c|\xi||v|, \forall \xi \in \Gamma_1.$$

Consider  $I_0(x', \xi)$  :

$$|I_0(x', \xi)| \leq \sup_{x \in B_{2r}} |c_p \tilde{\phi}(x + iv) f(x + iv)| \int_{B_{2r}} e^{\Re Q(x', \xi, x+iv)} dx.$$

For  $\xi \in \Gamma_1, |\xi| \geq 1$ , since  $l < k$ ,

$$\begin{aligned} &\Re Q(x', \xi, x + iv) \\ &= \Re \left( i\xi \cdot (x' - x - iv) - |\xi|^{\frac{l}{k}} p_1(x' - x - iv) - |\xi| p_2(x' - x - iv) \right) \end{aligned}$$

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$$\begin{aligned}
 &= \xi \cdot v - |\xi|^{\frac{1}{k}} \Re p_1(x' - x - iv) - |\xi| \Re p_2(x' - x - iv) \\
 &= \xi \cdot v - |\xi|^{\frac{1}{k}} p_1(x' - x) + O(|v|^2) |\xi|^{\frac{1}{k}} - |\xi| p_2(x' - x) + O(|v|^2) |\xi| \\
 &\leq -c|v| |\xi| - c_1 |\xi|^{\frac{1}{k}} |x' - x|^{2l} \\
 &\quad + O(|v|^2) |\xi|^{\frac{1}{k}} - c_3 |\xi| |x' - x|^{2k} + O(|v|^2) |\xi| \\
 &\leq -c|v| |\xi| + O(|v|^2) |\xi|
 \end{aligned}$$

choosing  $|v|$  small such that  $O(|v|^2) \leq \frac{c|v|}{2} = c'$ . Then

$$\Re Q(x', \xi, x + iv) \leq -c' |\xi|, \quad \xi \in \Gamma_1, |\xi| \geq 1, x' \in \mathbb{R}^m.$$

Thus, for  $\xi \in \Gamma_1, |\xi| \geq 1$ ,

$$|I_0(x', \xi)| \leq c'' e^{-c' |\xi|} \leq c'' e^{-c' |\xi|^{\frac{1}{s}}}$$

for some  $c'' > 0$ . Since

$$\frac{I_0(x', \xi)}{e^{-c' |\xi|^{\frac{1}{s}}}}$$

is bounded on  $\overline{B_{2r}} \times \{\xi : |\xi| \leq 1\}$ , there are  $A_0, B_0 > 0$  such that

$$|I_0(x', \xi)| \leq A_0 e^{-B_0 |\xi|^{\frac{1}{s}}}, \quad \forall \xi \in \Gamma_1, |x'| < 2r. \quad (33)$$

Consider

$$I_1^{\lambda}(x', \xi) = c_p \sum_{j=1}^m \int \int_{D_{\lambda}} e^{Q(x', \xi, x + itv)} \tilde{\phi}(x + itv) \frac{\partial f}{\partial \bar{z}_j}(x + itv) d\bar{z}_j \wedge dz :$$

For  $\xi \in \Gamma_1, |\xi| \geq 1$ ,

$$\begin{aligned}
 &\left| e^{Q(x', \xi, x + itv)} \tilde{\phi}(x + itv) \frac{\partial f}{\partial \bar{z}_j}(x + itv) \right| \\
 &\leq C' e^{\Re Q(x', \xi, x + itv)} A \exp\left(\frac{-B}{|tv|^{\frac{1}{s-1}}}\right), \quad C' = \sup_{(x,t) \in \overline{B_{2r}} \times [0,1]} |\tilde{\phi}(x + itv)| \\
 &\leq A' e^{-c|v| |\xi| - c_1 |x' - x|^{2k} |\xi| + O(|v|^2) |\xi|} \exp\left(\frac{-B'}{t^{\frac{1}{s-1}}}\right) \\
 &\leq A' e^{-c|v| |\xi| - c_1 |x' - x|^{2k} |\xi| + A'' t^2 |v|^2 |\xi|} \exp\left(\frac{-B'}{t^{\frac{1}{s-1}}}\right), \quad \text{some } A' > 0
 \end{aligned}$$

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$$\begin{aligned}
 &\leq A' e^{-c|v||\xi|+A''|v|^2|\xi|} \exp\left(\frac{-B'}{t^{s-1}}\right) \\
 &\leq A' e^{-c't|\xi|} \exp\left(\frac{-B'}{t^{s-1}}\right) \text{ (take } |v| \text{ small such that } A''|v|^2 \leq \frac{c|v|}{2} = c') \\
 &\leq C^{N+1} N! |\xi|^{-\frac{N}{s}}, \text{ some } C > 0, N = 0, 1, 2, \dots,
 \end{aligned}$$

where we used the inequality

$$e^{-\alpha} \leq d^d e^{-d} \alpha^{-d}, \quad d, \alpha > 0$$

with  $d = \frac{N}{s}$  for  $e^{-c't|\xi|}$ , and  $d = \frac{(s-1)N}{s}$  for  $\exp\left(\frac{-B'}{t^{s-1}}\right)$  for  $N \geq 1$ .

Hence

$$\begin{aligned}
 &\lim_{\lambda \rightarrow 0+} |I_1^\lambda(x', \xi)| \\
 &= c_p \lim_{\lambda \rightarrow 0+} \left| \sum_{j=1}^m \int \int_{D_\lambda} e^{Q(x', \xi, x+itv)} \tilde{\phi}(x+itv) \frac{\partial f}{\partial \bar{z}_j}(x+itv) d\bar{z}_j \wedge dz \right| \\
 &\leq \lim_{\lambda \rightarrow 0+} C^{N+1} N^N |\xi|^{-\frac{N}{s}} \sum_{j=1}^m \int_0^1 \int_{B_{2r}} d\bar{z}_j \wedge dz \\
 &\leq D^{N+1} N! |\xi|^{-\frac{N}{s}}, \quad \xi \in \Gamma_1, |\xi| \geq 1, x' \in \mathbb{R}^m, \text{ some } D > 0.
 \end{aligned}$$

Therefore,

$$\lim_{\lambda \rightarrow 0+} |I_1^\lambda(x', \xi)| \leq a_1 \exp\left(-b_1 |\xi|^{\frac{1}{s}}\right), \quad \forall \xi \in \Gamma_1, |\xi| \geq 1, x' \in B_{2r}$$

for some  $a_1, b_1 > 0$  independent of  $\lambda$ . But

$$\frac{|I_1^\lambda(x', \xi)|}{\exp(-b_1 |\xi|^{\frac{1}{s}})}$$

is uniformly bounded on  $\overline{B_{2r}} \times \{\xi : |\xi| \leq 1\}$ . Thus, there are  $A_1, B_1 > 0$  such that

$$\lim_{\lambda \rightarrow 0+} |I_1^\lambda(x', \xi)| \leq A_1 \exp\left(-B_1 |\xi|^{\frac{1}{s}}\right), \quad \forall \xi \in \Gamma_1, |x'| < 2. \tag{34}$$

Consider

$$I_2^\lambda(x', \xi) = \sum_{j=1}^m \int \int_{D_\lambda} e^{Q(x', \xi, x+itv)} \frac{\partial \tilde{\phi}}{\partial \bar{z}_j}(x+itv) f(x+itv) d\bar{z}_j \wedge dz :$$

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For  $\xi \in \Gamma_1, |\xi| \geq 1,$

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$$\begin{aligned} \Re Q(x', \xi, x + itv) &\leq -ct|v||\xi| + O(t^2|v|^2)|\xi| - c_3|\xi||x' - x|^{2k} \\ &\leq O(|v|^2)|\xi| - c_3|\xi||x' - x|^{2k} \text{ since } t \leq 1 \\ &\leq a'|v|^2|\xi| - c_3|\xi||x' - x|^{2k}. \end{aligned}$$

Since  $\frac{\partial \tilde{\phi}}{\partial \bar{z}_j} \equiv 0$  for  $|x| \leq r,$  the integral over  $|x| \leq r$  is zero. Then for  $|x'| < \frac{r}{2}$  and  $|x| \geq r,$

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$$\Re Q(x', \xi, x + itv) \leq a'|v|^2|\xi| - c_1 \frac{r^{2k}}{2^{2k}}|\xi|.$$

Choose  $|v|$  small such that

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$$a'|v|^2 \leq c_1 \frac{r^{2k}}{2^{2k+1}} = c''.$$

We then get

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$$\Re Q(x', \xi, x + itv) \leq -c''|\xi|, \quad \xi \in \Gamma_1, \quad |\xi| \geq 1.$$

Since  $f$  is of tempered growth, there is a constant  $d > 0$  and an integer  $n \geq 0$  such that

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$$|f(x + itv)| \leq \frac{d}{t^n |v|^n}.$$

Since  $\tilde{\phi}$  is almost holomorphic, there is  $c_n > 0$  such that

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$$\left| \frac{\partial \tilde{\phi}}{\partial \bar{z}_j}(x + itv) \right| \leq c_n t^n |v|^n \quad \forall j = 1, 2, \dots, m.$$

Thus we can get  $A_2, B_2 > 0$  independent of  $\lambda$  such that

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$$\lim_{\lambda \rightarrow 0^+} |I_2^\lambda(x', \xi)| \leq A_2 e^{-B_2 |\xi|^{\frac{1}{\lambda}}}, \quad \forall \xi \in \Gamma_1, \quad |x'| < \frac{r}{2}. \quad (35)$$

Therefore, from (3.1), (3.2), and (3.3), we can find constants  $A, B > 0$  such that

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$$|\mathcal{F}(\phi u)(x', \xi)| \leq A e^{-B |\xi|^{\frac{1}{\lambda}}}, \quad \forall (x', \xi) \in B_{\frac{r}{2}} \times \Gamma_1$$

where  $\Gamma_1$  is a conic neighborhood of  $\xi^0.$

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Conversely, suppose

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$$|\mathcal{F}(\phi u)(t, \xi)| \leq c_1 e^{-c_2 |\xi|^\frac{1}{2}}, (t, \xi) \in V \times \Gamma$$

where  $V$  is some neighborhood of 0,  $\Gamma$  a conic neighborhood of  $\xi^0$ , and  $c_1, c_2 > 0$  are some constants and  $\phi \in C_0^\infty(\mathbb{R}^m), \phi \equiv 1$  near 0.

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We want to show that  $(0, \xi^0) \notin WF_s(u)$ . Let  $\sigma(\xi) = e^{-|\xi|^2}$ . We apply the inversion formula

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$$\phi(x)u(x) = \lim_{\epsilon \rightarrow 0^+} \int_{\mathbb{R}^m \times \mathbb{R}^m} e^{i\xi \cdot (x-t) - \epsilon^2 |\xi|^2} \mathcal{F}(\phi u)(t, \xi) |\xi|^\frac{m}{2k} dt d\xi.$$

Let

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$$u_\epsilon(z) = \int_{\mathbb{R}^m \times \mathbb{R}^m} e^{i\xi \cdot (z-t) - \epsilon |\xi|^2} \mathcal{F}(\phi u)(t, \xi) |\xi|^\frac{m}{2k} dt d\xi, z = x + iy \in \mathbb{C}^m.$$

Clearly  $u_\epsilon(z)$  is an entire function of  $z$  for each  $\epsilon > 0$ .

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We write

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$$u_\epsilon(z) = u_0^\epsilon(z) + u_1^\epsilon(z)$$

where for some  $a > 0$  we set

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$$u_0^\epsilon(z) = \int_{\mathbb{R}^m} \int_{|t| \leq a} e^{i\xi \cdot (z-t)} \sigma(\epsilon \xi) \mathcal{F}u(t, \xi) |\xi|^\frac{m}{2k} dt d\xi$$

and

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$$u_1^\epsilon(z) = \int_{\mathbb{R}^m} \int_{|t| \geq a} e^{i\xi \cdot (z-t)} \sigma(\epsilon \xi) \mathcal{F}u(t, \xi) |\xi|^\frac{m}{2k} dt d\xi.$$

Consider  $u_0^\epsilon(z)$ : Choose  $a > 0$  such that  $\{t : |t| \leq a\} \subset V$ . Let  $\mathcal{C}_0 = \Gamma, \mathcal{C}_j, 1 \leq j \leq n$  be open acute cones (we may take  $\Gamma$  to be acute) such that  $\mathbb{R}^m = \bigcup_{j=0}^n \overline{\mathcal{C}_j}$ ,  $\overline{\mathcal{C}_j} \cap \overline{\mathcal{C}_k}$  has measure zero when  $j \neq k$  and  $\xi^0 \notin \overline{\mathcal{C}_j}$  for  $j \geq 1$ .

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Since  $\xi^0 \notin \overline{\mathcal{C}_j}$  and  $\mathcal{C}_j$  is acute we can get acute, open cones  $\Gamma^j, 1 \leq j \leq n$  and a constant  $c > 0$  such that

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$$\xi^0 \cdot \Gamma^j < 0 \text{ and } y \cdot \xi \geq c|y||\xi|, \forall y \in \Gamma^j, \forall \xi \in \mathcal{C}_j.$$

We have

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$$u_0^\epsilon(x) = \sum_{j=0}^n \int_{\mathcal{C}_j} \int_{|t| \leq a} e^{i\xi \cdot (x-t) - \epsilon |\xi|^2} \mathcal{F}(\phi u)(t, \xi) |\xi|^\frac{m}{2k} dt d\xi = \sum_{j=0}^n v_j^\epsilon(x).$$

For  $j = 0, 1, \dots, n$ , and  $z = x + iy \in \mathbb{R}^m + i\Gamma^j$ , define

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$$f_j^\epsilon(x + iy) = \int_{\mathcal{C}_j} \int_{|t| \leq a} e^{i\xi \cdot (x+iy-t) - \epsilon|\xi|^2} \mathcal{F}u(t, \xi) |\xi|^{\frac{m}{2k}} dt d\xi.$$

$f_j^\epsilon(z)$  are entire for  $j \geq 1$  and converge uniformly on compact subsets of the wedge  $\mathbb{R}^m + i\Gamma^j$  to the function

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$$f_j(x + iy) = \int_{\mathcal{C}_j} \int_{|t| \leq a} e^{i\xi \cdot (x+iy-t)} \mathcal{F}(\phi u)(t, \xi) |\xi|^{\frac{m}{2k}} dt d\xi$$

which is holomorphic and of tempered growth on  $\mathbb{R}^m + i\Gamma_\delta^j$  for some  $0 < \delta \leq 1$ . Thus each  $f_j, j = 1, \dots, n$  has a boundary value  $bf_j \in \mathcal{D}'(\mathbb{R}^m)$ .

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Let

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$$g_0^\epsilon(x) = \int_\Gamma \int_{|t| \leq a} e^{i\xi \cdot (x-t) - \epsilon|\xi|^2} \mathcal{F}(\phi u)(t, \xi) |\xi|^{\frac{m}{2k}} dt d\xi.$$

By the estimate for  $\mathcal{F}(\phi u)(t, \xi)$  on the set  $\{t : |t| \leq a\} \times \Gamma$ ,  $g_0^\epsilon(x)$  are smooth for all  $\epsilon > 0$  and converge uniformly on  $\mathbb{R}^m$  to the function

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$$g_0(x) = \int_\Gamma \int_{|t| \leq a} e^{i\xi \cdot (x-t)} \mathcal{F}(\phi u)(t, \xi) |\xi|^{\frac{m}{2k}} dt d\xi.$$

Clearly  $g_0(x)$  is smooth on  $\mathbb{R}^m$ .

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For any  $\alpha$ ,

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$$\begin{aligned} |\partial^\alpha g_0(x)| &= \left| \int_\Gamma \int_{|t| \leq a} \xi^\alpha e^{i\xi \cdot (x-t)} \mathcal{F}u(t, \xi) |\xi|^{\frac{m}{2k}} dt d\xi \right| \\ &\leq d_1 \int_\Gamma |\xi|^{|\alpha|} e^{-c_2|\xi|^{\frac{1}{s}}} |\xi|^{\frac{m}{2k}} d\xi, d_1 > 0 \\ &\leq d_1 \int_{|\xi| \leq 1} d\xi + d_1 \int_{\xi \in \Gamma, |\xi| \geq 1} |\xi|^{|\alpha|} e^{-c_2|\xi|^{\frac{1}{s}}} |\xi|^m d\xi \\ &= d_2 + d_1 \left(\frac{c_2}{2}\right)^{-ms} \int_{\xi \in \Gamma, |\xi| \geq 1} |\xi|^{|\alpha|} e^{-c_2|\xi|^{\frac{1}{s}}} \left(\frac{c_2}{2} |\xi|^{\frac{1}{s}}\right)^{ms} d\xi, d_2 > 0 \\ &\leq d_2 + d_1 \left(\frac{c_2}{2}\right)^{-ms} \int_{\xi \in \Gamma, |\xi| \geq 1} |\xi|^{|\alpha|} e^{-c_2|\xi|^{\frac{1}{s}}} \left(\frac{c_2}{2} |\xi|^{\frac{1}{s}}\right)^{N'} d\xi \\ &\quad (N' = \min \{N \in \mathbb{N} : N \geq ms\}) \\ &\leq d_2 + d_1 \left(\frac{c_2}{2}\right)^{-ms} N! \int_{\xi \in \Gamma, |\xi| \geq 1} |\xi|^{|\alpha|} e^{-c_2|\xi|^{\frac{1}{s}}} e^{\frac{c_2}{2}|\xi|^{\frac{1}{s}}} d\xi \end{aligned}$$

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$$\begin{aligned}
 &\leq d_2 + d_3 \int_{\xi \in \Gamma, |\xi| \geq 1} |\xi|^{|\alpha|} e^{-\frac{c_2}{2} |\xi|^{\frac{1}{s}}} d\xi \quad (\text{some } d_3 > 0) \\
 &\leq d_2 + d_3 \left(\frac{2}{c_2}\right)^N N! \int_{\xi \in \Gamma, |\xi| \geq 1} |\xi|^{|\alpha|} |\xi|^{\frac{-N}{s}} d\xi, \forall N = 1, 2, \dots \\
 &\leq d_2 + d^N N^N \int_{\xi \in \Gamma, |\xi| \geq 1} |\xi|^{|\alpha|} |\xi|^{\frac{-N}{s}} d\xi, \quad (\text{since } N! \leq N^N) \\
 &\leq d_2 + d_4^{(m+|\alpha|+1)s} (m + |\alpha| + 1)^{(m+|\alpha|+1)s} \\
 &\quad (\text{taking } N \text{ such that } (m + |\alpha|)s \leq N \leq (m + |\alpha| + 1)s) \\
 &\leq d_2 + (ed_4)^{(m+|\alpha|+1)s} (m + |\alpha| + 1)!^s \quad \text{since } n^n \leq e^n n! \\
 &\leq d_2 + (2ed_4)^{(m+|\alpha|+1)s} [(m + 1)!]^s (|\alpha|!)^s \quad (\text{we used } (j + k)! \leq 2^{k+j} k! j!) \\
 &\leq F^{|\alpha|+1} (\alpha!)^s \quad \text{since } |\alpha|! \leq 2^{|\alpha|} \alpha!
 \end{aligned}$$

for some  $F > 0$  independent of  $\alpha$ . Hence  $g_0 \in G^s(\mathbb{R}^m)$ . Thus there is  $f_0(x, y) \in C^1(V \times \mathbb{R}^m)$  such that  $f_0(x, 0) = g_0(x)$  and

$$\left| \frac{\partial f_0}{\partial \bar{z}_j}(x, y) \right| \leq A_1 \left( \frac{-A_2}{|y|^{\frac{1}{s-1}}} \right).$$

Choose  $\Gamma_0$  an open cone such that  $\xi^0 \cdot \Gamma_0 < 0$ . Thus we have found open cones  $\Gamma_0, \Gamma_1, \dots, \Gamma_n$  and functions  $f_j$  holomorphic on  $\mathbb{R}^m + i\Gamma_j^\delta$  (for some  $\delta > 0$ ) for  $j \geq 1$  which are of tempered growth and  $f_0(x, y)$  smooth and of tempered growth on  $\mathbb{R}^m + i\Gamma_0^\delta$  (for some  $\delta > 0$ ) such that

$$\xi^0 \cdot \Gamma_j < 0, \quad 0 \leq j \leq n$$

and

$$\left| \frac{\partial f_j}{\partial \bar{z}_k}(x, y) \right| \leq A_1 \left( \frac{-A_2}{|y|^{\frac{1}{s-1}}} \right), \quad \forall j = 1, 2, \dots, n, \quad \forall k = 0, 1, 2, \dots, m.$$

It is readily seen that in the sense of distributions, for all  $j = 1, \dots, n$ ,

$$\lim_{\Gamma_j \ni y \rightarrow 0} f_j(x + iy) = \lim_{\epsilon \rightarrow 0^+} f_j^\epsilon(x)$$

and

$$\lim_{\Gamma_0 \ni y \rightarrow 0} f_0(x + iy) = \lim_{\epsilon \rightarrow 0^+} g_0^\epsilon(x).$$

Hence

$$u_0(x) = \sum_{j=0}^n b f_j$$

in  $\mathcal{D}'(\mathbb{R}^m)$ . By Theorem 2.3, we conclude that  $(0, \xi^0) \notin WF_s(u_0)$ .

**Consider**  $u_1^\epsilon(z)$  : We will show that  $(u_1^\epsilon(z))$  is uniformly bounded for  $z$  near 0. 295  
Write 296

$$u_1^\epsilon(z) = \sum_{j=1}^3 I_j^\epsilon(z)$$

where for some  $A > 0$  to be chosen later 297

$I_1^\epsilon(z) =$  the integral over  $X_1 = \{(t, \xi) : a \leq |t| \leq A, |\xi| \leq 1\}$

$I_2^\epsilon(z) =$  the integral over  $X_2 = \{(t, \xi) : |t| \geq A, \xi \in \mathbb{R}^m\}$

$I_3^\epsilon(z) =$  the integral over  $X_3 = \{(t, \xi) : a \leq |t| \leq A, |\xi| \geq 1\}$

Since  $X_1$  is a bounded set and  $\mathcal{F}(\phi u)$  is continuous function it is clear that there is 298  
a constant  $C_1 > 0$  independent of  $0 < \epsilon \leq 1$  such that 299

$$|I_1^\epsilon(z)| \leq \int_{X_1} e^{-y\xi - \epsilon|\xi|^2} |\mathcal{F}(\phi u)(t, \xi)| |\xi|^{\frac{m}{2k}} dt d\xi \leq C_1, \forall |y| < 1. \quad (36)$$

Consider  $I_2^\epsilon(z)$  : Let  $r > 0$  such that 300

$$\text{supp}(\phi) \subset \{x : |x| \leq r\} = B_r.$$

Choose  $A = 2r$ . Then for  $|x'| \leq r$  and  $|t| \geq A$ , 301

$$|t - x'| \geq \frac{|t|}{4} + \frac{A}{4}$$

and so 302

$$|t - x'|^{2k} \geq \frac{|t|^{2k}}{4^{2k}} + \frac{A^{2k}}{4^{2k}}.$$

We have 303

$$\begin{aligned} |\mathcal{F}(\phi u)(t, \xi)| &= \left| \int_{|x'| \leq r} e^{i\xi \cdot (t-x')} \psi(|\xi|^{\frac{1}{2k}}(t-x')) \phi(x') u(x') dx' \right| \\ &= \left| \int_{|x'| \leq r} e^{i\xi \cdot (t-x') - |\xi|^{\frac{1}{k}} p_1(t-x') - |\xi| p_2(t-x')} \phi(x') u(x') dx' \right| \\ &\leq C \sup_{|x'| \leq r, |\alpha| \leq N_1} \left| \partial_{x'}^\alpha \left( e^{i\xi \cdot (t-x') - |\xi|^{\frac{1}{k}} p_1(t-x') - |\xi| p_2(t-x')} \right) \right|, \quad N_1 = \text{the order of } u \end{aligned}$$

To estimate the preceding expression, we observe that if  $c$  is a constant and  $A(x)$  is a smooth function, for any multi-index  $\beta$ , the derivative  $\partial_x^\beta e^{cA(x)}$  is a sum of terms of the form  $c^{l_1+\dots+l_n}(\partial^{m_1}p)^{l_1}\dots(\partial^{m_n}p)^{l_n}$  where  $\sum_{j=1}^n m_j l_j = |\beta|$ . This observation together with the fact that  $e^{-c} \leq \frac{k!}{c^k}$  for any  $c > 0$  leads to

$$|\mathcal{F}(\phi u)(t, \xi)| \leq C' e^{-A_1|\xi||t|^{2k}-B_1|\xi|}, |t| \geq A, \xi \in \mathbb{R}^m$$

for some constants  $C', A_1, B_1 > 0$  independent of  $\epsilon > 0$ . Therefore,

$$\begin{aligned} |I_2^\epsilon(z)| &= \left| \int_{\mathbb{R}^m} \int_{|t| \geq A} e^{i\xi \cdot (z-t) - \epsilon|\xi|^2} \mathcal{F}(\phi u)(t, \xi) |\xi|^{\frac{m}{2k}} dt d\xi \right| \\ &\leq C' \int_{\mathbb{R}^m} \int_{|t| \geq A} e^{|\eta||\xi|} e^{-A_1|\xi||t|^{2k}-B_1|\xi|} |\xi|^{\frac{m}{2k}} dt d\xi \\ &= C' \int_{\mathbb{R}^m} e^{|\eta||\xi|} e^{-B_1|\xi|} |\xi|^{\frac{m}{2k}} \left( \int_{|t| \geq A} e^{-A_1|\xi||t|^{2k}} dt \right) d\xi \\ &= C'' \int_{\mathbb{R}^m} e^{|\eta||\xi|} e^{-B_1|\xi|} \\ &\leq C'' \int_{\mathbb{R}^m} e^{\frac{-B_1}{2}|\xi|} d\xi, \forall z = x + iy, |y| < \frac{B_1}{2}. \end{aligned}$$

It follows that there is  $C_2 > 0$  independent of  $0 < \epsilon \leq 1$  such that

$$|I_2^\epsilon(z)| \leq C_2, \forall |z| < \delta_2 = \frac{b-1}{2}, \forall 0 < \epsilon \leq 1.$$

Consider  $I_3^\epsilon(z)$ :

$$I_3^\epsilon(z) = \int \int \int_R e^{i\xi \cdot (z-x') - |\xi|^{\frac{1}{k} p_1(t-x') - |\xi| p_2(t-x') - \epsilon|\xi|^2} \phi(x') u(x') |\xi|^{\frac{m}{2k}} d\xi dx' dt$$

where

$$R = \{(\xi, x', t) : |\xi| \geq 1, |x'| \leq r, a \leq |t| \leq A\}$$

Using a branch of the logarithm we note that the function  $\xi \mapsto |\xi|$  has a holomorphic extension

$$\langle \zeta \rangle = \left( \sum_{j=1}^m \zeta_j^2 \right)^{\frac{1}{2}}.$$

In particular, the functions  $\zeta \mapsto \langle \zeta \rangle$  and  $\zeta \mapsto \langle \zeta \rangle^{\frac{m}{2k}}$  are holomorphic on the set

$$S = \{\zeta = \xi + i\eta \in \mathbb{C}^m : |\eta| < |\xi|\}.$$

Fix  $x, x'$ . Then we will change the contour of integration in  $\xi$  from the  $m$ -cycle  $\{\xi : |\xi| \geq 1\} \subset \mathbb{R}^m$  to its image under the map 316  
317

$$\zeta(\xi) = \xi + ib|\xi|(x - x')$$

where  $b > 0$  is chosen small so that 318

$$|\Im \zeta(\xi)| = b|\xi||x - x'| < |\Re \zeta(\xi)| = |\xi|$$

Let 319

$$D = \{\xi + i\sigma b|\xi|(x - x') : |\xi| \geq 1, 0 \leq \sigma \leq 1\}.$$

Consider the  $m$ -form 320

$$\omega(z, x', t, \zeta, \epsilon) = e^{i(z-x') \cdot \zeta - \langle \zeta \rangle^{\frac{1}{k}} p_1(t-x') - \langle \zeta \rangle p_2(t-x') - \epsilon \langle \zeta \rangle^2} \phi(x') u(x') \langle \zeta \rangle^{\frac{m}{2k}} d\zeta$$

where  $\zeta = \xi + i\eta \in \mathbb{C}^m$ ,  $d\zeta = d\zeta_1 \wedge \dots \wedge d\zeta_m$ . Since 321

$$g(\zeta) = e^{i(z-x') \cdot \zeta - \langle \zeta \rangle^{\frac{1}{k}} p_1(t-x') - \langle \zeta \rangle p_2(t-x') - \epsilon \langle \zeta \rangle^2} \phi(x') u(x') \langle \zeta \rangle^{\frac{m}{2k}}$$

is a holomorphic function of  $\zeta$ ,  $\omega$  is a closed form. So by Stokes theorem 322

$$\int_{\partial D} \omega d\zeta = \int_D d\omega \wedge d\zeta = 0.$$

Now 323

$$\begin{aligned} \partial D &= \{\xi : |\xi| \geq 1\} \cup \{\xi + ib|\xi|(x - x') : |\xi| \geq 1\} \\ &\cup \{\xi + i\sigma b|\xi|(x - x') : |\xi| = 1, 0 \leq \sigma \leq 1\}. \end{aligned}$$
324

Therefore, 325

$$\begin{aligned} &\int_{|\xi| \geq 1} e^{i\xi \cdot (z-x') - |\xi|^{\frac{1}{k}} p_1(t-x') - |\xi| p_2(t-x') - \epsilon |\xi|^2} \phi(x') u(x') |\xi|^{\frac{m}{2k}} d\xi \\ &= \int_{|\xi| \geq 1} \omega(z, x', \xi + ib|\xi|(x - x')) d\xi \\ &\quad - \int_0^1 \int_{|\xi|=1} \omega(z, x', \xi + i\sigma b(x - x')) d\xi d\sigma \end{aligned}$$

Clearly there is  $B_1 > 0$  independent of  $\epsilon$  such that 326

$$\left| \int_0^1 \int_{|\xi|=1} \omega(z, x', \xi + i\sigma b(x - x')) d\xi d\sigma \right| \leq B_1.$$

To estimate the other integrals, let

327

$$Q(z, x', t, \xi, \epsilon) = i(z - x') \cdot \zeta(\xi) - \langle \zeta(\xi) \rangle^{\frac{1}{k}} p_1(t - x') - \langle \zeta(\xi) \rangle p_2(t - x') - \epsilon \langle \zeta(\xi) \rangle^2$$

where

328

$$\zeta(\xi) = \xi + ib|\xi|(x - x'), \quad z = x + iy.$$

Then

329

$$\begin{aligned} \Re Q(z, x', t, \xi, \epsilon) &= -b|\xi||x - x'|^2 - y \cdot \xi - \Re \langle \zeta(\xi) \rangle^{\frac{1}{k}} p_1(t - x') - \Re \langle \zeta(\xi) \rangle p_2(t - x') \\ &\quad - \epsilon \Re \langle \zeta(\xi) \rangle^2 \end{aligned}$$

We note that

330

$$\langle \zeta(\xi) \rangle^2 = \sum_{j=1}^m (\xi_j + ib|\xi|(x_j - x'_j))^2 = |\xi|^2 - b^2|\xi|^2|x - x'|^2 + i2b|\xi|\xi \cdot (x - x').$$

Let  $|x| \leq 1$ . Then since  $|x'| \leq r$ ,

331

$$b^2|\xi|^2|x - x'|^2 \leq b^2B|\xi|^2$$

for some  $B > 0$ . Then we can choose  $b > 0$  small enough such that

332

$$\Re \langle \zeta(\xi) \rangle^2 = |\xi|^2 - b^2|\xi|^2|x - x'|^2 \geq \frac{|\xi|^2}{2}$$

and

333

$$\arg \langle \zeta(\xi) \rangle^2 \in \left[ -\frac{\pi}{4}, \frac{\pi}{4} \right].$$

Hence

334

$$\begin{aligned} \Re \langle \zeta(\xi) \rangle^{\frac{1}{k}} &= \Re \left( \sum_{j=1}^m \zeta_j^2(\xi) \right)^{\frac{1}{2k}} = \Re \left( \langle \zeta(\xi) \rangle^2 \right)^{\frac{1}{2k}} \\ &= \Re e^{\frac{1}{2k} \log(\langle \zeta(\xi) \rangle^2)} \\ &= |\langle \zeta(\xi) \rangle^2|^{\frac{1}{2k}} \cos \left( \frac{1}{2k} \arg \langle \zeta(\xi) \rangle^2 \right) > 0, \end{aligned}$$

335

and

336

$$\begin{aligned} \Re \langle \zeta(\xi) \rangle &= |\langle \zeta(\xi) \rangle|^{\frac{1}{2}} \cos \left( \frac{1}{2} \arg \langle \zeta(\xi) \rangle^2 \right) \\ &\geq (\Re \langle \zeta(\xi) \rangle^2)^{\frac{1}{2}} \cos \left( \frac{1}{2} \arg \langle \zeta(\xi) \rangle^2 \right) \\ &= B' |\xi|, \quad B' > 0. \end{aligned}$$

Therefore,

337

$$\begin{aligned} \Re Q(z, x', t, \xi, \epsilon) &= -b|\xi||x - x'|^2 - y \cdot \xi - \Re \langle \zeta(\xi) \rangle^{\frac{1}{k}} p_1(t - x') - \Re \langle \zeta(\xi) \rangle p_2(t - x') \\ &\quad - \epsilon \Re \langle \zeta(\xi) \rangle^2 \\ &\leq -b|\xi||x - x'|^2 + |y||\xi| - B' c_3 |\xi| |t - x'|^{2k} \end{aligned}$$

Let  $z = x + iy = 0$ . Then

338

$$\Re Q(0, x', t, \xi, \epsilon) \leq -b|\xi||x'|^2 - B' c_3 |\xi| |t - x'|^{2k}.$$

If  $|x'| \geq \frac{a}{2}$ , then

339

$$\Re Q(0, x', t, \xi, \epsilon) \leq -b|\xi||x'|^2 \leq -b \frac{a^2}{4} |\xi|.$$

If  $|x'| \leq \frac{a}{2}$ , then since  $|t| \geq a$ ,  $|t - x'| \geq \frac{a}{2}$  and so

340

$$\Re Q(0, x', t, \xi, \epsilon) \leq -B' c_3 |\xi| |t - x'|^{2k} \leq -\frac{B' c_3 a^{2k}}{2^{2k}} |\xi|.$$

Thus there is  $A_1 > 0$  independent of  $\epsilon > 0$  such that

341

$$\Re Q(0, x', t, \xi, \epsilon) \leq -A_1 |\xi|, \quad \forall |\xi| \geq 1.$$

By continuity and homogeneity in  $\xi$ , there is  $\delta_3 > 0$  such that for some  $A_2 > 0$

342

$$\Re Q(z, x', t, \xi, \epsilon) \leq -A_2 |\xi|, \quad \forall |\xi| \geq 1, |z| \leq \delta_3.$$

Therefore,

343

$$\left| \int_{|\xi| \geq 1} \omega(z, x', t, \zeta(\xi), \epsilon) d\xi \right| \leq C' \int_{|\xi| \geq 1} e^{-A_2 |\xi|} \left| \langle \zeta(\xi) \rangle^{\frac{m}{2k}} \right| d\xi,$$



and so

344

$$|I_3^\epsilon(z)| \leq A_3$$

for some  $A_3 > 0$  independent of  $\epsilon > 0$  for all  $|z| < \delta_3$ .

345

Let  $\delta = \min \{1, \delta_2, \delta_3\}$ . Then there is  $0 < \lambda < \infty$  such that

346

$$\sup_{0 < \epsilon \leq 1} |u_1^\epsilon(z)| \leq \lambda, \quad \forall |z| < \delta.$$

Thus there is a subsequence  $\epsilon_k > 0$  such that for some  $0 < \delta' < \delta$ ,

347

$$u_1^{\epsilon_k}(x + iy) \rightarrow u_1(x + iy)$$

uniformly on  $|x + iy| \leq \delta'$ . In particular,  $u_1(z)$  is holomorphic on  $|z| < \delta$ . Hence

348

$(0, \xi^0) \notin WF_a(u_1)$  and so  $(0, \xi^0) \notin WF_s(u_1)$ . Since  $WF_s(u) \subset WF_s(u_0) \cup WF_s(u_1)$

349

we get  $(0, \xi^0) \notin WF_s(u)$  and the proof is complete.

350

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AQ3

AQ4

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