

Aristotle's Cave:

Thus Aristotle brilliantly remarks: 'Suppose there were men who had always lived underground, in good and well-lighted dwellings, adorned with statues and pictures, and furnished with everything in which those who are thought happy abound. Suppose, however, that they had never gone above ground, but had learned by report and hearsay that there was a divine spirit and power. Suppose that then, at some time, the jaws of the earth opened, and they were able to escape and make their way from those hidden dwellings into these regions which we inhabit. When they suddenly saw earth and seas and skies, when they learned the grandeur of clouds and the power of winds, when they saw the sun and realized not only its grandeur and beauty but also its power, by which it fills the sky with light and makes the day; when, again, night darkened the lands and they saw the whole sky picked out and adorned with stars, and the varying light of the moon as it waxes and wanes, and the risings and settings of all these bodies, and their courses settled and immutable to all eternity; when they saw those things, most certainly would they have judged both that there are gods and that these great works are the works of gods.' Thus far Aristotle. (in *The Complete Works Of Aristotle*, Jonathan Barnes (ed.), Princeton U. Press, 1984, p. 2392)

Selections from Aristotle's *Metaphysics*, Book I (Translated by W. D. Ross, 1908; available online at <http://classics.mit.edu/Aristotle/metaphysics.html>)

Part 1

"ALL men by nature desire to know. An indication of this is the delight we take in our senses; for even apart from their usefulness they are loved for themselves; and above all others the sense of sight. For not only with a view to action, but even when we are not going to do anything, we prefer seeing (one might say) to everything else. The reason is that this, most of all the senses, makes us know and brings to light many differences between things.

"By nature animals are born with the faculty of sensation, and from sensation memory is produced in some of them, though not in others. And therefore the former are more intelligent and apt at learning than those which cannot remember [...]

"The animals other than man live by appearances and memories, and have but little of connected experience; but the human race lives also by art and reasoning. Now from memory experience is produced in men; for the several memories of the same thing produce finally the capacity for a single experience. And experience seems pretty much like science and art, but really science and art come to men through experience [...]

"With a view to action experience seems in no respect inferior to art, and men of experience succeed even better than those who have theory without experience [...] But yet we think that knowledge and understanding belong to art rather than to experience, and we suppose artists to be wiser than men of

experience [...] and this because the former know the cause, but the latter do not. For men of experience know that the thing is so, but do not know why, while the others know the 'why' and the cause. Hence we think also that the masterworkers in each craft are more honourable and know in a truer sense and are wiser than the manual workers, because they know the causes of the things that are done [...] thus we view them as being wiser not in virtue of being able to act, but of having the theory for themselves and knowing the causes. And in general it is a sign of the man who knows and of the man who does not know, that the former can teach, and therefore we think art more truly knowledge than experience is; for artists can teach, and men of mere experience cannot.

"Again, we do not regard any of the senses as Wisdom; yet surely these give the most authoritative knowledge of particulars. But they do not tell us the 'why' of anything-e.g. why fire is hot; they only say that it is hot.

"At first he who invented any art whatever that went beyond the common perceptions of man was naturally admired by men, not only because there was something useful in the inventions, but because he was thought wise and superior to the rest. But as more arts were invented, and some were directed to the necessities of life, others to recreation, the inventors of the latter were naturally always regarded as wiser than the inventors of the former, because their branches of knowledge did not aim at utility. Hence when all such inventions were already established, the sciences which do not aim at giving pleasure or at the necessities of life were discovered, and first in the places where men first began to have leisure. This is why the mathematical arts were founded in Egypt; for there the priestly caste was allowed to be at leisure.

"We have said in the Ethics what the difference is between art and science and the other kindred faculties; but the point of our present discussion is this, that all men suppose what is called Wisdom to deal with the first causes and the principles of things; so that, as has been said before, the man of experience is thought to be wiser than the possessors of any sense-perception whatever, the artist wiser than the men of experience, the masterworker than the mechanic, and the theoretical kinds of knowledge to be more of the nature of Wisdom than the productive. Clearly then Wisdom is knowledge about certain principles and causes.

Part 2

"Since we are seeking this knowledge, we must inquire of what kind are the causes and the principles, the knowledge of which is Wisdom. If one were to take the notions we have about the wise man, this might perhaps make the answer more evident. We suppose first, then, that the wise man knows all things, as far as possible, although he has not knowledge of each of them in detail; secondly, that he who can learn things that are difficult, and not easy for man to know, is wise (sense-perception is common to all, and therefore easy and no mark of Wisdom); again, that he who is more exact and more capable of teaching the causes is wiser, in every branch of knowledge; and that of the sciences, also, that which is desirable on its own account and for the sake of knowing it is more of the nature of Wisdom than that which is desirable on account of its results, and the superior science is more of the

nature of Wisdom than the ancillary; for the wise man must not be ordered but must order, and he must not obey another, but the less wise must obey him.

"Such and so many are the notions, then, which we have about Wisdom and the wise. Now of these characteristics that of knowing all things must belong to him who has in the highest degree universal knowledge; for he knows in a sense all the instances that fall under the universal. And these things, the most universal, are on the whole the hardest for men to know; for they are farthest from the senses. And the most exact of the sciences are those which deal most with first principles; for those which involve fewer principles are more exact than those which involve additional principles, e.g. arithmetic than geometry. But the science which investigates causes is also instructive, in a higher degree, for the people who instruct us are those who tell the causes of each thing. And understanding and knowledge pursued for their own sake are found most in the knowledge of that which is most knowable (for he who chooses to know for the sake of knowing will choose most readily that which is most truly knowledge, and such is the knowledge of that which is most knowable); and the first principles and the causes are most knowable; for by reason of these, and from these, all other things come to be known, and not these by means of the things subordinate to them. And the science which knows to what end each thing must be done is the most authoritative of the sciences, and more authoritative than any ancillary science; and this end is the good of that thing, and in general the supreme good in the whole of nature. Judged by all the tests we have mentioned, then, the name in question falls to the same science; this must be a science that investigates the first principles and causes; for the good, i.e. the end, is one of the causes.

"That it is not a science of production is clear even from the history of the earliest philosophers. For it is owing to their wonder that men both now begin and at first began to philosophize; they wondered originally at the obvious difficulties, then advanced little by little and stated difficulties about the greater matters, e.g. about the phenomena of the moon and those of the sun and of the stars, and about the genesis of the universe. And a man who is puzzled and wonders thinks himself ignorant (whence even the lover of myth is in a sense a lover of Wisdom, for the myth is composed of wonders); therefore since they philosophized order to escape from ignorance, evidently they were pursuing science in order to know, and not for any utilitarian end. And this is confirmed by the facts; for it was when almost all the necessities of life and the things that make for comfort and recreation had been secured, that such knowledge began to be sought. Evidently then we do not seek it for the sake of any other advantage; but as the man is free, we say, who exists for his own sake and not for another's, so we pursue this as the only free science, for it alone exists for its own sake.

"Hence also the possession of it might be justly regarded as beyond human power; for in many ways human nature is in bondage, so that according to Simonides 'God alone can have this privilege', and it is unfitting that man should not be content to seek the knowledge that is suited to him. If, then, there is something in what the poets say, and jealousy is natural to the divine power, it would probably occur in this case above all, and all who excelled in this knowledge would be unfortunate. But the divine power cannot be jealous (nay, according to the proverb, 'bards tell a lie'), nor should any other science be thought more honourable than one of this sort. For the most divine science is also most honourable; and this science alone must be, in two ways, most divine. For the science which it would be most meet

for God to have is a divine science, and so is any science that deals with divine objects; and this science alone has both these qualities; for (1) God is thought to be among the causes of all things and to be a first principle, and (2) such a science either God alone can have, or God above all others. All the sciences, indeed, are more necessary than this, but none is better.

"We have stated, then, what is the nature of the science we are searching for, and what is the mark which our search and our whole investigation must reach.

Part 3

"Evidently we have to acquire knowledge of the original causes (for we say we know each thing only when we think we recognize its first cause), and causes are spoken of in four senses. In one of these we mean the substance, i.e. the essence (for the 'why' is reducible finally to the definition, and the ultimate 'why' is a cause and principle); in another the matter or substratum, in a third the source of the change, and in a fourth the cause opposed to this, the purpose and the good (for this is the end of all generation and change). We have studied these causes sufficiently in our work on nature, but yet let us call to our aid those who have attacked the investigation of being and philosophized about reality before us. For obviously they too speak of certain principles and causes; to go over their views, then, will be of profit to the present inquiry, for we shall either find another kind of cause, or be more convinced of the correctness of those which we now maintain.

Selections from Aristotle's Physics: Book II, Chapter 1, 192b9-192b11 to Book II, Chapter 3, 195a27-195a27.

Translated by R. P. Hardie and R. K. Gaye from, in *The Complete Works Of Aristotle*, Jonathan Barnes (ed.), Princeton U. Press, 1984, p. 329-333.

Available online at: <http://www.anselm.edu/homepage/dbanach/physics.htm>

BOOK II

Chapter 1

Of things that exist, some exist by nature, some from other causes. By nature the animals and their parts exist, and the plants and the simple bodies (earth, fire, air, water)--for we say that these and the like exist by nature.

All the things mentioned plainly differ from things which are not constituted by nature. For each of them has within itself a principle of motion and of stationariness (in respect of place, or of growth and decrease, or by way of alteration). On the other hand, a bed and a coat and anything else of that sort, qua receiving these designations--i.e. in so far as they are products of art--have no innate impulse to change. But in so far as they happen to be composed of stone or of earth or of a mixture of the two, they do have such an impulse, and just to that extent--which seems to indicate that nature is a principle or cause of being moved and of being at rest in that to which it belongs primarily, in virtue of itself and not accidentally.

I say 'not accidentally', because (for instance) a man who is a doctor might himself be a cause of health to himself. Nevertheless it is not in so far as he is a patient that he possesses the art of medicine: it merely has happened that the same man is doctor and patient--and that is why these attributes are not always found together. So it is with all other artificial products. None of them has in itself the principle of its own production. But while in some cases (for instance houses and the other products of manual labour) that principle is in something else external to the thing, in others--those which may cause a change in themselves accidentally--it lies in the things themselves (but not in virtue of what they are).

Nature then is what has been stated. Things have a nature which have a principle of this kind. Each of them is a substance; for it is a subject, and nature is always in a subject.

The term 'according to nature' is applied to all these things and also to the attributes which belong to them in virtue of what they are, for instance the property of fire to be carried upwards--which is not a nature nor has a nature but is by nature or according to nature.

What nature is, then, and the meaning of the terms 'by nature' and 'according to nature', has been stated. That nature exists, it would be absurd to try to prove; for it is obvious that there are many things of this kind, and to prove what is obvious by what is not is the mark of a man who is unable to

distinguish what is self-evident from what is not. (This state of mind is clearly possible. A man blind from birth might reason about colours.) Presumably therefore such persons must be talking about words without any thought to correspond.

Some identify the nature or substance of a natural object with that immediate constituent of it which taken by itself is without arrangement, e.g. the wood is the nature of the bed, and the bronze the nature of the statue.

As an indication of this Antiphon points out that if you planted a bed and the rotting wood acquired the power of sending up a shoot, it would not be a bed that would come up, but wood which shows that the arrangement in accordance with the rules of the art is merely an accidental attribute, whereas the substance is the other, which, further, persists continuously through the process.

But if the material of each of these objects has itself the same relation to something else, say bronze (or gold) to water, bones (or wood) to earth and so on, that (they say) would be their nature and substance. Consequently some assert earth, others fire or air or water or some or all of these, to be the nature of the things that are. For whatever any one of them supposed to have this character--whether one thing or more than one thing--this or these he declared to be the whole of substance, all else being its affections, states, or dispositions. Every such thing they held to be eternal (for it could not pass into anything else), but other things to come into being and cease to be times without number.

This then is one account of nature, namely that it is the primary underlying matter of things which have in themselves a principle of motion or change.

Another account is that nature is the shape or form which is specified in the definition of the thing.

For the word 'nature' is applied to what is according to nature and the natural in the same way as 'art' is applied to what is artistic or a work of art. We should not say in the latter case that there is anything artistic about a thing, if it is a bed only potentially, not yet having the form of a bed; nor should we call it a work of art. The same is true of natural compounds. What is potentially flesh or bone has not yet its own nature, and does not exist by nature, until it receives the form specified in the definition, which we name in defining what flesh or bone is. Thus on the second account of nature, it would be the shape or form (not separable except in statement) of things which have in themselves a principle of motion. (The combination of the two, e.g. man, is not nature but by nature.)

The form indeed is nature rather than the matter; for a thing is more properly said to be what it is when it exists in actuality than when it exists potentially. Again man is born from man but not bed from bed. That is why people say that the shape is not the nature of a bed, but the wood is--if the bed sprouted, not a bed but wood would come up. But even if the shape is art, then on the same principle the shape of man is his nature. For man is born from man.

Again, nature in the sense of a coming-to-be proceeds towards nature. For it is not like doctoring, which leads not to the art of doctoring but to health. Doctoring must start from the art, not lead to it. But it is not in this way that nature is related to nature. What grows qua growing grows from something into something. Into what then does it grow? Not into that from which it arose but into that to which it tends. The shape then is nature.

Shape and nature are used in two ways. For the privation too is in a way form. But whether in unqualified coming to be there is privation, i.e. a contrary, we must consider later.

Chapter 2

We have distinguished, then, the different ways in which the term 'nature' is used.

The next point to consider is how the mathematician differs from the student of nature; for natural bodies contain surfaces and volumes, lines and points, and these are the subject-matter of mathematics.

Further, is astronomy different from natural science or a department of it? It seems absurd that the student of nature should be supposed to know the nature of sun or moon, but not to know any of their essential attributes, particularly as the writers on nature obviously do discuss their shape and whether the earth and the world are spherical or not.

Now the mathematician, though he too treats of these things, nevertheless does not treat of them as the limits of a natural body; nor does he consider the attributes indicated as the attributes of such bodies. That is why he separates them; for in thought they are separable from motion, and it makes no difference, nor does any falsity result, if they are separated. The holders of the theory of Forms do the same, though they are not aware of it; for they separate the objects of natural science, which are less separable than those of mathematics. This becomes plain if one tries to state in each of the two cases the definitions of the things and of their attributes. Odd and even, straight and curved, and likewise number, line, and figure, do not involve motion; not so flesh and bone and man--these are defined like snub nose, not like curved.

Similar evidence is supplied by the more natural of the branches of mathematics, such as optics, harmonics, and astronomy. These are in a way the converse of geometry. While geometry investigates natural lines but not qua natural, optics investigates mathematical lines, but qua natural, not qua mathematical.

Since two sorts of thing are called nature, the form and the matter, we must investigate its objects as we would the essence of snubness, that is neither independently of matter nor in terms of matter only. Here too indeed one might raise a difficulty. Since there are two natures, with which is the student of nature concerned? Or should he investigate the combination of the two? But if the combination of the two, then also each severally. Does it belong then to the same or to different sciences to know each severally?

If we look at the ancients, natural science would seem to be concerned with the matter. (It was only very slightly that Empedocles and Democritus touched on form and essence.)

But if on the other hand art imitates nature, and it is the part of the same discipline to know the form and the matter up to a point (e.g. the doctor has a knowledge of health and also of bile and phlegm, in which health is realized and the builder both of the form of the house and of the matter, namely that it is bricks and beams, and so forth): if this is so, it would be the part of natural science also to know nature in both its senses.

Again, that for the sake of which, or the end, belongs to the same department of knowledge as the means. But the nature is the end or that for the sake of which. For if a thing undergoes a continuous change toward some end, that last stage is actually that for the sake of which. (That is why the poet was carried away into making an absurd statement when he said 'he has the end for the sake of which he was born'. For not every stage that is last claims to be an end, but only that which is best.)

For the arts make their material (some simply make it, others make it serviceable), and we use everything as if it was there for our sake. (We also are in a sense an end. 'That for the sake of which' may be taken in two ways, as we said in our work *On Philosophy*.) The arts, therefore, which govern the matter and have knowledge are two, namely the art which uses the product and the art which directs the production of it. That is why the using art also is in a sense directive; but it differs in that it knows the form, 11 whereas the art which is directive as being concerned with production knows the matter. For the helmsman knows and prescribes what sort of form a helm should have, the other from what wood it should be made and by means of what operations. In the products of art, however, we make the material with a view to the function, whereas in the products of nature the matter is there all along.

Again, matter is a relative thing--for different forms there is different matter.

How far then must the student of nature know the form or essence? Up to a point, perhaps, as the doctor must know sinew or the smith bronze (i.e. until he understands the purpose of each); 12 and the student of nature is concerned only with things whose forms are separable indeed, but do not exist apart from matter. Man is begotten by man and by the sun as well. The mode of existence and essence of the separable it is the business of first philosophy to define.

Chapter 3

Now that we have established these distinctions, we must proceed to consider causes, their character and number. Knowledge is the object of our inquiry, and men do not think they know a thing till they have grasped the 'why' of it (which is to grasp its primary cause). So clearly we too must do this as regards both coming to be and passing away and every kind of natural change, in order that, knowing their principles, we may try to refer to these principles each of our problems.

In one way, then, that out of which a thing comes to be and which persists, is called a cause, e.g. the bronze of the statue, the silver of the bowl, and the genera of which the bronze and the silver are species.

In another way, the form or the archetype, i.e. the definition of the essence, and its genera, are called causes (e.g. of the octave the relation of 2:1, and generally number), and the parts in the definition.

Again, the primary source of the change or rest; e.g. the man who deliberated is a cause, the father is cause of the child, and generally what makes of what is made and what changes of what is changed.

Again, in the sense of end or that for the sake of which a thing is done, e.g. health is the cause of walking about. ('Why is he walking about?' We say: 'To be healthy', and, having said that, we think we have assigned the cause.) The same is true also of all the intermediate steps which are brought about through the action of something else as means towards the end, e.g. reduction of flesh, purging, drugs, or surgical instruments are means towards health. All these things are for the sake of the end, though they differ from one another in that some are activities, others instruments.

This then perhaps exhausts the number of ways in which the term 'cause' is used.

As things are called causes in many ways, it follows that there are several causes of the same thing (not merely accidentally), e.g. both the art of the sculptor and the bronze are causes of the statue. These are causes of the statue qua statue, not in virtue of anything else that it may be--only not in the same way, the one being the material cause, the other the cause whence the motion comes. Some things cause each other reciprocally, e.g. hard work causes fitness and vice versa, but again not in the same way, but the one as end, the other as the principle of motion. Further the same thing is the cause of contrary results. For that which by its presence brings about one result is sometimes blamed for bringing about the contrary by its absence. Thus we ascribe the wreck of a ship to the absence of the pilot whose presence was the cause of its safety.

All the causes now mentioned fall into four familiar divisions. The letters are the causes of syllables, the material of artificial products, fire and the like of bodies, the parts of the whole, and the premisses of the conclusion, in the sense of 'that from which'. Of these pairs the one set are causes in the sense of what underlies, e.g. the parts, the other set in the sense of essence--the whole and the combination and the form. But the seed and the doctor and the deliberator, and generally the maker, are all sources whence the change or stationariness originates, which the others are causes in the sense of the end or the good of the rest; for that for the sake of which tends to be what is best and the end of the things that lead up to it. (Whether we call it good or apparently good makes no difference.)

Such then is the number and nature of the kinds of cause.